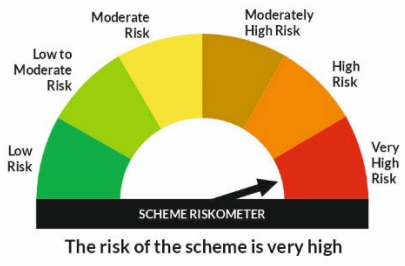
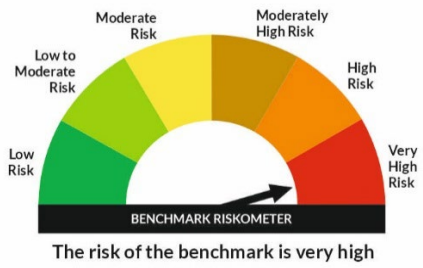
OLD BRIDGE ASSET MANAGEMENT

KEY INFORMATION MEMORANDUM

OLD BRIDGE FOCUSED FUND

(An Open-ended Equity Scheme investing in maximum 30 stocks) (Multi Cap)

This product is suitable for investors who are seeking*:	#Risk-o-meter	
	Old Bridge Focused Fund	Benchmark (BSE 500 TRI)
• Capital Appreciation over long-term. • Investing in concentrated portfolio of equity and equity related instruments of up to 30 companies		

**Investors should consult their financial advisers if in doubt about whether the product is suitable for them.*

For latest riskometer, investors may refer to the Monthly Portfolios disclosed on the website of the Fund viz. www.oldbridgemf.com

Continuous offer for units at NAV based prices

Name of Mutual Fund	**Old Bridge Mutual Fund**
Name of Asset Management Company	**Old Bridge Asset Management Private Limited (CIN No. U67120MH2022PTC394844)**
Name of Trustee Company	**Old Bridge Mutual Fund Trustee Private Limited (CIN No. U65999MH2022PTC395188)**
Address of the Entities	**1705, ONE BKC, C - Wing, G – Block, Bandra Kurla Complex, Bandra East, Mumbai -400 051.**
Website	**www.oldbridgemf.com**

This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. **For further details of the scheme/Mutual Fund, due diligence certificate by the AMC, Key Personnel, investors' rights & services, risk factors, penalties & pending litigations etc. investors should, before investment, refer to the Scheme Information Document and Statement of Additional Information available free of cost at any of the Investor Service Centres or distributors or from the website www.oldbridgemf.com.**

The Scheme particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations 2026, as amended till date, and filed with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM.

This Key Information Memorandum is dated August 26, 2026.

Investment Objective	To generate long-term capital appreciation by investing in equity and equity related instruments of up to 30 companies across market capitalization (i.e. Mid cap, Small cap, Large cap). **Disclaimer**: There is no assurance or guarantee that the objectives of the scheme will be realized
Asset Allocation Pattern of the scheme	The asset allocation pattern for the scheme is detailed in the table below:

Instruments	Indicative allocations (% of total assets	
	Minimum	Maximum
Equity and Equity Related Instruments*	80	100
Debt and Money Market Instruments	0	20
Units issued by InvITs	0	10

* Subject to overall limit of 30 stocks

The maximum exposure to equity derivatives for non-hedging purposes shall not exceed 20% of net assets of equity component of the scheme.

The Scheme may enter into repos/reverse repos as may be permitted by RBI/SEBI. From time to time, the Scheme may hold cash. A part of the net assets may be invested in the Tri-party Repos on Government Securities or treasury bills (TREPS) or repo or in an alternative investment as may be provided by RBI to meet the liquidity requirements, subject to regulatory approval, if any.

The AMC confirms that the scheme will not invest in the following securities/instruments.

Sr No	Name of the securities/instruments in which the scheme will not invest
1	Unrated Debt instruments
2	Credit Default Swaps
3	Securitized Debt/ Structured Obligations
4	Credit Enhanced Debt
5	Repo/Reverse Repo of Corporate Debt Securities
6	Debt Instruments having special features (AT1 and AT2 Bonds)

Indicative Table (Actual instrument/percentages may vary subject to applicable SEBI circulars)

Sr No	Type of Instrument	Percentage of exposure	Circular references*
1	Securities Lending	Up to 20% of the net assets of the Scheme	Para 13.6 of SEBI Master Circular
2	Equity Derivatives for non- hedging purposes	Up to 20% of net assets of equity component of the scheme	Para-no. 13.15 of SEBI Master Circular
3	Securitized Debt	The scheme will not invest in this.	NA

4	Overseas Securities	20% of the average Asset Under Management ('AAUM') in overseas securities of the previous three calendar months	Para-no. 13.11 of SEBI Master Circular
5	InvITs	Up to 10% of the net assets of the Scheme	Clause 13.13 of SEBI Master Circular
6	AT1 and AT2 Bonds	The scheme will not invest in this	NA

The Scheme will not Invest in Unrated Debt Instruments, Credit Default Swaps, Securitized Debt / Structured Obligations, Credit Enhanced Debt, Repo / Reverse Repo of Corporate Debt Securities, Debt Instruments having special features (AT1 and AT2 Bonds).

Subject to the SEBI (MF) Regulations and in accordance with Securities Lending Scheme, 1997, Para 13.6 of SEBI Master Circular on Mutual Funds dated March 20, 2026 and framework for borrowing and lending of securities notified by SEBI vide circular No MRD/DoP/SE/Dep/Cir-14/2007 dated December 20, 2007, as may be amended from time to time, the Scheme seeks to engage in Securities Lending.

The AMC shall adhere to the following limits should it engage in Stock Lending.

a. Not more than 20% of the net assets of the Scheme can generally be deployed in Securities lending.
b. Not more than 5% of the net assets of the Scheme can generally be deployed in Securities Lending to any single approved intermediary
c. The Mutual Fund may not be able to sell such lent-out securities, and this can lead to temporary illiquidity.

The Scheme may invest in units of Infrastructure Investment Trusts (InvITs). Not more than 10% of the net assets of the Scheme will be invested in InvITs and not more than 5% of the net assets of the Scheme will be invested in InvITs of any single issuer.

In terms of Para 13.18 of SEBI Master Circular on Mutual Funds dated March 20, 2026, the cumulative gross exposure through equity, debt & Money Market Instruments, equity derivative positions, INvTs, repo transactions, and such other securities/assets as may be permitted by the Board from time to time, subject to regulatory approvals, if any, shall not exceed 100% of the net assets of the scheme.

Cash and cash equivalents as per SEBI letter no. SEBI/HO/ IMD-II/DOF3/ OW/P/ 2021/ 31487 / 1 dated November 03, 2021 which includes T-bills, Government Securities and Repo on Government Securities having residual maturity of less than 91 Days, shall not be considered for the purpose of calculating gross exposure limit. Subject to guidelines specified by SEBI, derivatives exposure due to hedging positions may not be included in the aforesaid limit.

	Pending deployment of funds in securities in terms of investment objective of the Scheme, the AMC may park the funds of the Scheme in short term deposits of Scheduled Commercial Banks, subject to the guidelines issued by SEBI vide Para 13.7 of SEBI Master Circular on Mutual Funds dated March 20, 2026, as may be amended from time to time. The Scheme may invest in other schemes managed by the AMC or in the schemes of any other mutual funds in conformity with the investment objective of the Scheme and in terms of the prevailing SEBI (MF) Regulations. According to paragraph 13.11 of SEBI Master Circular on Mutual Funds dated March 20, 2026, mutual funds can invest in ADRs/GDRs/ other specified foreign securities. As per paragraph 13.11.2 of SEBI Master Circular for Mutual Funds dated March 20, 2026, such investments are subject to an overall limit of US$ 7 billion for all mutual funds put together. The Scheme may, with the approval of SEBI/ RBI invest in foreign securities as specified by SEBI. The Scheme intends to invest in overseas securities, subject to maximum limits as specified in paragraph 13.11.2 of SEBI Master Circular for Mutual Funds dated March 20, 2026. Further investments in overseas securities will follow the norms for ongoing schemes. On an ongoing basis, the AMC is allowed to invest in overseas securities upto 20% of the average Asset Under Management ('AUM') in overseas securities of the previous three calendar months subject to maximum limit of USD 1 billion per Mutual Fund. The above limits shall be considered as soft limits for the purpose of reporting only by Mutual Funds on monthly basis as per paragraph 13.11.4 of SEBI Master Circular for Mutual Funds dated March 20, 2026. Investment in overseas securities shall be made in accordance with the requirements stipulated by SEBI from time to time. Further investment in Overseas Securities by the Scheme will be made only when dedicated fund manager is appointed. The overseas limits mentioned in Para 13.11 of SEBI Master Circular on Mutual Funds dated March 20, 2026, are soft limits. The Mutual Fund may, where necessary appoint intermediaries as sub-managers, sub-custodians, etc. for managing and administering such investments. The appointment of such intermediaries shall be in accordance with the applicable requirements of SEBI and within the permissible ceilings of expenses. **Rebalancing due to Short Term Defensive Consideration:** Due to market conditions, the AMC may invest beyond the range set out in the asset allocation. Such deviations shall normally be for a short term and defensive considerations as per Para 1.9.1.b of SEBI Master Circular on Mutual Funds dated March 20, 2026, and the fund manager will rebalance the portfolio within 30 calendar days from the date of deviation. **Rebalancing due to Passive Breaches:** Further, as per Para 3.11 of SEBI Master Circular on Mutual Funds dated March 20, 2026, as may be amended from time to time, in the event of deviation due to passive breaches (occurrence of instances not arising out of omission and commission of the AMC), the fund manager shall rebalance the portfolio of the Scheme within 30 Business Days. In case the portfolio of the Scheme is not rebalanced within the period of 30 Business Days, justification in writing, including details of efforts taken to rebalance the portfolio shall be placed before the Investment Committee of the AMC. The Investment Committee, if it so desires, can extend the timeline for rebalancing up to sixty (60) Business Days from the date of

	completion of mandated rebalancing period. Further, in case the portfolio is not rebalanced within the aforementioned mandated plus extended timelines the AMC shall comply with the prescribed restrictions, the reporting and disclosure requirements as specified in Para 3.11 of the SEBI Master Circular.
Investment Strategy	The Scheme actively seeks to generate long term capital appreciation by investing in equity & equity related instruments of up to 30 companies. To achieve the investment objective, the Fund will endeavor to align to the segments of the economy that are emerging and companies that have characteristics which make them the dominant participants in their industry across market capitalization. This is a buy and hold strategy. Low debt and high capital efficient businesses are some of the financial parameters that form the key selection criteria of companies in this portfolio. The investment strategy of the scheme will be to primarily invest in equity or equity linked securities of listed/to be listed Indian companies. The Scheme may invest in equity derivatives, amongst other things for purposes of hedging and portfolio balancing, as may be permitted under the Regulations from time to time Investment in debt and money market securities will be done for liquidity purpose. The Scheme may also invest in the hybrid securities viz. Units of InvITs for diversification and subject to necessary stipulations by SEBI from time to time. **Though every endeavour will be made to achieve the objective of the Scheme, the AMC / Sponsor / Trustee does not guarantee that the investment objective of the Scheme will be achieved. No guaranteed returns are being offered under the Scheme.**
Risk Profile of the scheme	Mutual Fund Units involve investments risks including the possible loss of principal. Please read the SID carefully for details on risk factors before investment. Scheme specific Risk Factors are summarized below: The Scheme carries risks associated with investing in Equity and Equity related instruments, equity derivatives, InvITs, Securities Lending, Risks associated with Segregated portfolio. Fixed Income Securities are subject to risks including but not limited to interest rate risk, re-investment risk, spread risk, liquidity risk, credit risk, Liquidity Risk on account of unlisted securities, Counterparty Risk, Settlement Risk duration risk and performance risk. **For details on risk factors and risk mitigation measures, please refer SID.**
Plan and options	The Schemes have two Plans - Regular Plan & Direct Plan, with a common portfolio and separate NAVs. Regular Plan is for investors who wish to route their investment through any distributor. Direct Plan is for investors who wish to invest directly without routing the investment through any distributor. Each of the Plans offer the following options: a. Growth Option b. Income Distribution cum capital withdrawal (IDCW) Option **<u>Growth Option</u>** The income attributable to units under this Option will continue to remain invested and will be reflected in their Net Asset Value. IDCW will not be declared under this Option.

Income Distribution cum Capital Withdrawal (IDCW) Option

This Option provides for distributions subject to availability of distributable surplus, computed in accordance with SEBI (MF) Regulations. Investors should note that distributions can be made out of Equalization Reserves (representing accumulated realized gains), which is part of sale price paid by them.

IDCW Option offers following Sub-Options / facilities

a. Pay-out of Income Distribution cum capital withdrawal (IDCW) option / facility
b. Reinvestment of Income Distribution cum capital withdrawal (IDCW) option /facility

Default Option/ Facility

The investor must clearly specify his/her choice of Option/Facility in the application form, in the absence of which, the Default Option/Facility would be applicable and the application will be processed accordingly:

Scenario	ARN Code mentioned by investor	Plan mentioned by investor	Default Plan
1	Not mentioned	Not mentioned	Direct Plan
2	Not mentioned	Direct Plan	Direct Plan
3	Not mentioned	Regular Plan	Direct Plan
4	Mentioned	Direct Plan	Direct Plan
5	Direct	Not mentioned	Direct Plan
6	Direct	Regular Plan	Direct Plan
7	Mentioned	Regular Plan	Regular Plan
8	Mentioned	Not mentioned	Regular Plan

In cases of wrong/ invalid/ incomplete ARN codes mentioned on the application form, the application shall be processed under Regular Plan. The AMC shall endeavour on best efforts basis to obtain the correct ARN code within 30 calendar days of the receipt of the application form from the investor/ distributor. In case the correct code is not received within 30 calendar days, the AMC shall reprocess the transaction under Direct Plan from the date of application without any exit load.

The financial transactions# of an investor where his distributor's AMFI Registration Number (ARN) has been suspended temporarily or terminated permanently received during the suspension period shall be processed under "Direct Plan" and continue to be processed under "Direct Plan" perpetually unless after suspension of ARN is revoked, unitholder makes a written request to process the future installments/ investments under "Regular Plan". Any financial transactions requests received through the stock exchange platform, from any distributor whose ARN has been suspended, shall be rejected.

#Financial Transactions shall include all Purchase / Switch requests (including under fresh registrations of Systematic Investment Plan ("SIP") / Systematic Transfer Plan ("STP") or under SIPs/ STPs registered prior to the suspension period).

Default Option: Growth Option
(if the investor has not indicated choice between 'Growth' or 'IDCW' Options).

Default Facility- IDCW Payout
(If the investor has not indicated choice between 'IDCW Pay-out' and 'IDCW Re-investment'.)

	Default - Redemption Where Units under a Scheme are held under both Regular and Direct Plans and the redemption / Switch request pertains to the Direct Plan, the same must clearly be mentioned on the request (along with the folio number), failing which the request would be processed from the Regular Plan. However, where Units under the requested Option are held only under one Plan, the request would be processed under such Plan. **Existing Investments** Investors wishing to transfer their accumulated unit balance held under Regular Plan (through lumpsum / systematic investments made with or without Distributor code) to Direct Plan will have to switch /redeem their investments and apply under Direct Plan. **For detailed disclosure on default plans and options, kindly refer SAI**
Applicable NAV	Subscriptions/Purchases including Switch - ins: • In respect of valid applications received upto 3.00 p.m. on a Business Day at the official point of acceptance of transactions and where the funds for the entire amount of subscription/purchase as per the application/Switch-in request, are available for utilization before the cut-off time i.e. 3.00 p.m. - the closing NAV of the day shall be applicable. • In respect of valid applications received after 3.00 p.m. on a Business Day at the official point of acceptance of transactions and where the funds for the entire amount of subscription/purchase as per the application/Switch-in request, are available for utilization either on the same day or before the cut-off time of the next business day - the closing NAV of the next Business Day shall be applicable. • Irrespective of the time of receipt of application at the official point of acceptance of transactions, where the funds for the entire amount are available for utilization before the cut-off time on any subsequent Business Day – the closing NAV of such subsequent Business Day shall be applicable. In case of investments through Systematic Investment Plan (SIP), Systematic Transfer Plans (STP), as may be offered by the AMC, the units would be allotted as per the closing NAV of the day on which the funds are available for utilization irrespective of the instalment date of the SIP, STP or record date of IDCW etc. Since different payment modes have different settlement cycles including electronic transactions (as per arrangements with Payment Aggregators/Banks/Exchanges etc), it may happen that the investor's account is debited, but the money is not credited within cut-off time on the same date to the Scheme's bank account, leading to a gap/delay in Unit allotment. Investors are therefore urged to use the most efficient electronic payment modes to avoid delays in realization of funds and consequently in Unit allotment. Cut off timing for redemption / repurchases / switch-outs: 1. In respect of valid application received at the Official Points of Acceptance upto 3.00 p.m. on a Business Day by the Fund, the closing NAV of the day on which application is received shall be applicable. 2. In respect of valid application received at the Official Points of Acceptance after 3.00 p.m. on a Business Day by the Fund, the closing NAV of the next Business Day shall be applicable.

Minimum Application Amount / Number of Units	**Fresh Purchase (Incl. Switch-in):** Minimum of Rs 5000/- and in multiple of Rs. 1/- thereafter **Additional application amount (Incl. Switch-in):** Minimum of Rs 1000/- and in multiple of Rs. 1/- thereafter **Systematic Investment Plan (SIP)**: Minimum Rs. 2500/- and in multiples of Rs.1 thereafter Minimum instalments: 6 Clause 7.14 of SEBI Master Circular on Mutual Funds dated March 20, 2026 read with SEBI circular SEBI/HO/IMD/IMD-PoD-1/P/CIR/2025/36 dated March 21, 2025 relating to framework on Alignment of interest of Designated Employees of Asset Management Companies (AMCs) with the Unitholders of the Mutual Fund Schemes, has, inter alia mandated that a minimum slab wise percentage of the salary/ perks/ bonus/ non-cash compensation (gross annual CTC) net of income tax and any statutory contributions (i.e. PF and NPS) of the Designated Employees of the AMCs shall be mandatorily invested in units of Mutual Fund schemes in which they have a role/oversight. In accordance with the regulatory requirement, the minimum application amount and in multiples of Rs. 1/- thereafter wherever specified in the concerned SID / KIM will not be applicable for investment made in schemes of Old Bridge Mutual Fund in compliance with the aforesaid circular(s).
Minimum Redemption / switch-out amount	Rs.1000/- and in multiples of Rs. 0.01/- or account balance, whichever is lower.
Despatch of Redemption Request	Redemption: Within 3 Business days of receipt of the redemption request at the Official Points of Acceptance/ ISCs of Old Bridge Mutual Fund. As per SEBI Regulations, the Mutual Fund shall dispatch redemption proceeds within 3 Business Days of receiving a valid Redemption request. A penal interest of 15% per annum or such other rate as may be prescribed by SEBI from time to time, will be paid in case the redemption proceeds are not made within 3 Business Days of the date of receipt of a valid redemption request.
Benchmark Index	BSE 500 TRI
IDCW Policy	Under the IDCW option, the Trustee will have the discretion to declare the IDCW, subject to availability of distributable surplus calculated in accordance with the Regulations. The actual declaration of IDCW and frequency will inter-alia, depend on availability of distributable surplus calculated in accordance with SEBI (MF) Regulations and the decisions of the Trustee shall be final in this regard. There is no assurance or guarantee to the Unit holders as to the rate of IDCW nor that it will be paid regularly. The AMC/Trustee reserves the right to change the frequency of declaration of IDCW or may provide for additional frequency for declaration of IDCW. **IDCW Distribution Procedure** In accordance with chapter 12 of SEBI Master Circular for Mutual Fund dated March 20, 2026 the procedure for IDCW distribution would be as under: 1. Quantum of IDCW and the record date will be fixed by the Trustee. IDCW so decided shall be paid, subject to availability of distributable surplus. 2. Within one calendar day of the decision by the Trustees, AMC shall issue notice to the public communicating the decision about the IDCW including the record date. The record date shall be two (2) business days from the date of publication in at least one English newspaper or in a newspaper

published in the language of the region where the Head Office of the mutual fund is situated, whichever is issued earlier.

3. Record date shall be the date, which will be considered for the purpose of determining the eligibility of investors whose names appear on the register of Unit holders for receiving IDCW.
4. The notice will, in font size 10, bold, categorically state that pursuant to payment of IDCW, the NAV of the Scheme would fall to the extent of pay-out and statutory levy (if applicable).
5. The NAV will be adjusted to the extent of IDCW distribution and statutory levy, if any, at the close of business hours on record date.
6. Before the issue of such notice, no communication indicating the probable date of IDCW declaration in any manner whatsoever will be issued by Mutual Fund.

Name of the Fund Manager including the tenure for which the fund manager has been managing the scheme

Mr. Kenneth Joseph Andrade (Managing scheme since inception: 2 years 7 months)
Mr. Tarang Agrawal (Managing scheme since inception: 2 years 7 months)

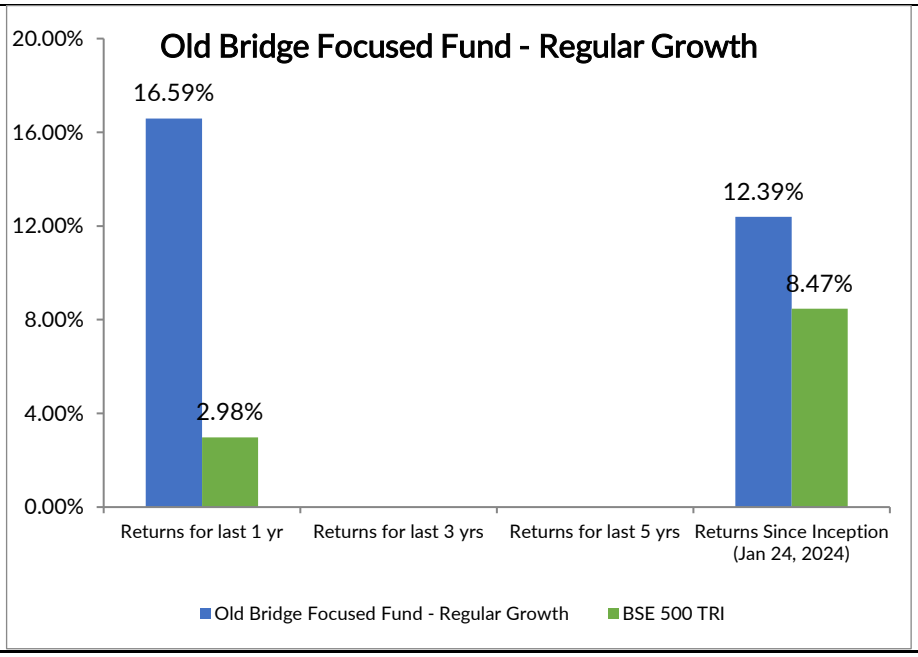
Name of the Trustee Company

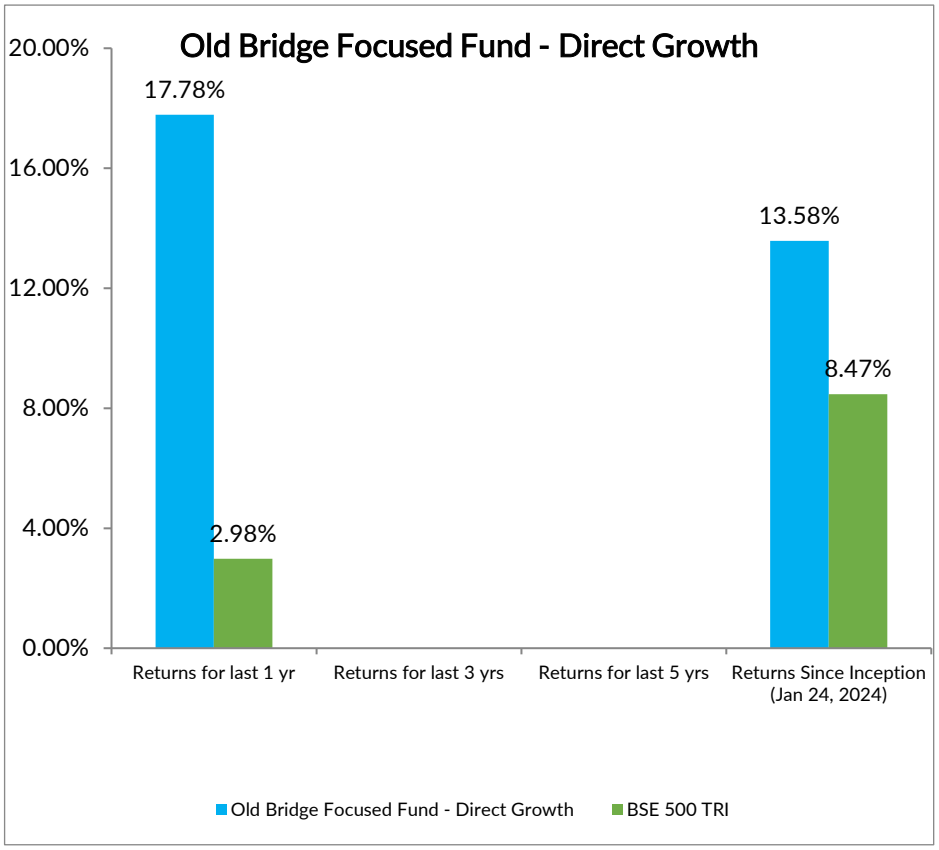
Old Bridge Mutual Fund Trustee Private Limited.

Performance of the scheme (as on July 31, 2026)

CAGR Return	Scheme Returns (%)		Benchmark Returns (%)	
	Regular	Direct	Regular	Direct
Returns for the last 1 year	**16.59**	**17.78**	**2.98**	**2.98**
Returns for the last 3 year	-	-	-	-
Returns for the last 5 year	-	-	-	-
Return since inception	**12.39**	**13.58**	**8.47**	**8.47**

Absolute Returns for each financial year for the last 5 year





Note: Returns as on 31st July, 2026. For Computation of returns Latest Declared NAV is considered. Past performance may or may not be sustained in future and is not a guarantee of any future return. Different Plans i.e. Regular Plan and Direct Plan under the scheme have different expense structure. The expenses of the Direct Plan under the Scheme will be lower to the extent of the distribution expenses/commission charged in the Regular Plan. Load is not taken into consideration for computation of performance. For performance of other schemes managed by Mr. Kenneth Andrade, please refer page 13. Benchmark: BSE 500 (Total Returns Index), Additional Benchmark: NIFTY 50 (Total Returns Index). Inception Date: January 24, 2024. Returns of 1 year and greater are basis compound Annual Growth Rate (CAGR). Face Value per unit: ` 10.

Additional Scheme Related Disclosures	i. Scheme Portfolio Holdings: Investors can refer the following link on our website for top 10 holdings by issuer and fund allocation towards various sectors: https://oldbridgemf.com/statutory-disclosures.html#v-pills-tabContent4 ii. Disclosure of name and exposure to Top 7 issuers, stocks, groups and sectors as a percentage of NAV of the scheme: Not Applicable as this is not an index fund / ETF iii. Portfolio Turnover Rate - Not available as the scheme is a newly launched scheme.
Expenses of the scheme **Recurring Expenses**	Expenses Annual Scheme Recurring Expenses These are the fees and expenses for operating the scheme. These expenses include Investment Management and Advisory Fee charged by the AMC, Registrar and

Transfer Agents' fee, marketing and selling costs etc. as given in the table below. The expenses shall be subject to the base expense limits, brokerage limits, transaction cost and statutory levies permissible under these regulations.

The AMC has estimated that upto 1.63% of the daily net assets of the scheme shall be charged to the scheme as base expenses. For the actual expenses being charged, the investor should refer to the website of the mutual fund.

The Base Expense Ratio (BER) of the Scheme shall include (a) Investment and Advisory fees under Regulation 66 (4); (b) Recurring scheme expenses under Regulation 66 (5); and (c) Charges/ commission/ fees related to distribution of mutual fund schemes under Regulation 66 (6) but shall exclude statutory levies applicable, if any, on the said expenses and transaction cost specified under Regulation 66 (10).

The base expenses charged to the Scheme shall not exceed the limits stated in Regulation 66 of the SEBI (MF) Regulations and as permitted under SEBI Circulars issued from time to time. Any expenditure in excess of the base limits specified in these regulations shall be borne by the AMC or the trustees or sponsors. If any expense of the scheme is borne by AMC or by the trustee or sponsors, the same shall be done only after the investment and advisory fees charged to the scheme, if any, is fully reversed.

Maximum Base Expense Ratio under Regulation 66 (7) (c):

On the first Rs.500 crores of the daily net assets - 2.10 % p.a.
On the next Rs.250 crores of the daily net assets - 1.90 % p.a.
On the next Rs.1,250 crores of the daily net assets - 1.60 % p.a.
On the next Rs.3,000 crores of the daily net assets - 1.50% p.a.
On the next Rs.5,000 crores of the daily net assets - 1.40% p.a.
On the next Rs.40,000 crores of the daily net assets - Expense ratio reduction of 0.05% for every increase
of Rs.5,000 crores of daily net assets or part thereof.
On balance of the assets - 0.95% p.a.

Further Actual Expense ratio will be disclosed at www.oldbridgemf.com

Expense Head	% of daily Net Assets
Investment Management and Advisory fees [1]	Upto 1.63%
Audit fees/fees and expenses of trustees[2]	
Custodian fees	
RTA fees including cost of providing account statements / IDCW / redemption cheques/ warrants	
Marketing & selling expense incl. agent commission and statutory advertisement [3]	
Cost related to investor communication	
Cost of fund transfer from location to location	
Cost towards investor education & awareness (at least 2 bps) [4]	
Cost of providing account statements and IDCW redemption cheques and warrants	
Brokerage & transaction cost pertaining to distribution of units [5 6]	
Other Expenses (as per Reg 66 of SEBI MF Regulations)	

Maximum total expense ratio (TER) permissible under Regulation 67	**Refer Note 7 below**
Statutory levies (including GST) on all expenses excluding brokerage and transaction cost [8]	At Applicable rates
Statutory levies (including GST) on brokerage and transaction cost [8]	At Applicable rates

[1]There shall be no internal sub-limits within the expense ratio for expense heads mentioned under Regulation 66 (4) and (5) viz. Investment Management and Advisory Fees and various sub-heads of recurring expenses, respectively.

[2]Trustee Fees and Expenses

Pursuant to the Trust Deed and other constitutive documents of the Mutual Fund, the Trustee is entitled to receive a monthly fee, in addition to the reimbursement of all costs, charges, and expenses incurred. The fee shall be calculated at a rate not more than 0.05% (5 bps) of the daily net assets of the Scheme(s), computed on a daily basis and paid monthly.

The Trustee may charge expenses as permitted from time to time under the Trust Deed and SEBI (MF) Regulations.

[3]Direct Plan under the Scheme shall have a lower expense ratio than Regular Plan, as no distribution commission is paid under such plan. All fees and expenses charged in a Direct Plan (in percentage terms) under various heads including the investment and advisory fee shall not exceed the fees and expenses charged under such heads in a Regular Plan.

The charges or commission or fees related to distribution of mutual fund schemes shall be paid in the manner as may be specified by SEBI and AMFI from time to time.

[4] Investor Education and Awareness initiatives

As per clause 11.9 of Master Circular, the AMC shall annually set apart at least 2 basis points p.a. (i.e. 0.02% p.a.) on daily net assets of the Scheme within the limits of base expenses prescribed under Regulation 66 of SEBI (MF) Regulations for investor education and awareness initiatives undertaken. These funds shall be utilized as per the guidelines issued by SEBI and AMFI from time to time.

[5]Brokerage incurred for the purpose of execution of trade shall be charged to the schemes as provided under Regulation 66 (9) upto 6 bps and 2 bps of the trade value for cash market transactions and derivatives transactions (if permitted under the scheme) respectively. Any payment towards brokerage over and above the said 6 bps and 2 bps shall be part of the Base Expense Ratio (BER) limit (excluding statutory levies) as prescribed under Regulation 66 (7).

[6]Pursuant to Regulation 66 (10), transaction cost incurred for the purpose of execution of a trade shall mean regulatory levies and any other expenses charged by the stock exchanges, clearing corporation, and clearing house, as applicable. Such transaction costs shall not form part of the Base Expense Ratio (BER).

[7]The total of all expenses charged to the investors of the scheme, as mentioned under definition of 'Total expense ratio', shall be total of expense charged within the base limit specified under Regulation 66 (7), brokerage cost permitted under Regulation 66 (9), transaction cost incurred for the purpose of execution of trade

as referred under Regulation 66 (10), and statutory levies charged to the investors. No charges other than the base expense ratio, brokerage cost, transaction cost, statutory levy and exit load including levies as may be specified by the SEBI, shall be charged to the investors. Any expense other than those specified in Regulation 66 (4), (5), (6) (9) and (10) as mentioned above, shall not be charged to the scheme and shall be borne by the AMC or trustee or sponsors.

[8]Statutory levy means levy imposed by state government and central government.

The mutual fund would update the expense ratios on the website (www.oldbridgemf.com) under the Section titled "Statutory Disclosures" under sub-section titled "Total Expense Ratio of Mutual Fund Schemes". Any change in the BER in comparison to previous BER charged to any scheme/plan shall be communicated to investors of the Scheme at least three working days prior to effecting such change. Further, the notice of change in BER shall be updated in the aforesaid section of the website at least three working days prior to effecting such change. Provided that any change in BER in a mutual fund scheme due to change in AUM or any decrease in BER in a mutual fund scheme due to various other regulatory requirements shall not require issuance of any prior notice to the investors.

For details, refer SID.

Illustration – Impact of Expense Ratio on the Returns		
Particulars	**Regular Plan**	**Direct Plan**
Amount Invested at the beginning of the year	10,000	10,000
Annual Returns before Expenses	800	800
Expenses other than Distribution Expenses	75	75
Distribution Expenses / Commission	25	-
Returns after Expenses at the end of the Year	**700**	**725**
Absolute Return (%) on Investment (Post Expenses)	**7.00%**	**7.25%**

Note: Please note that the above is an approximate illustration of the impact of expense ratio on the returns, where the Gross NAV has been simply reduced to the extent of the expenses. In reality, the actual impact would vary depending on the path of returns over the period of consideration. Expenses will be charged on daily net assets.

These estimates have been made in good faith as per the information available to the Investment Manager and are subject to change inter-se or in total subject to prevailing Regulations.

Load Structure

Type of Load	**Load chargeable (as %age of NAV)**
Exit Load	If redeemed/switched out within 365 days from the date of allotment: 1% If redeemed/switched out after 365 days from the date of allotment – Nil

	The load structure will be equally applicable to all special products offered under the Scheme such as SIP, STP, etc. No exit load will be charged for switches made between different options of the scheme. However, the Mutual Fund will ensure that the Redemption Price will not be lower than 97% of the Applicable NAV. The Purchase Price shall be at applicable NAV. Units issued on reinvestment of IDCW shall not be subject to Load. No exit load shall be applicable on switches from Regular Plan to Direct Plan, and vice versa Goods & Service Tax (GST) on exit load, if any, shall be paid out of the exit load proceeds. The entire exit load (net of GST), charged, if any, shall be credited to the Scheme. The Trustee/AMC reserves the right to change the load structure subject to the limits prescribed under the Regulations. However, the Redemption / Repurchase Price will not be lower than 97% of the NAV. Any change in load structure shall be only on a prospective basis i.e. any such changes would be chargeable only for Redemptions from prospective purchases (applying first in first out basis).
Tax treatment for the Investors (Unitholders)	Investor will be advised to refer to the details in the Statement of Additional Information and also independently refer to his tax advisor.
Daily Net Asset Value (NAV) Publication	The AMC will calculate and disclose the NAVs on all the Business Days. The AMC shall update the NAVs on its website www.oldbridgemf.com and of the Association of Mutual Funds in India – AMFI (www.amfiindia.com) before 11.00 p.m. on every Business Day. Further, AMC shall extend the facility of sending latest available NAVs to unitholders through SMS, upon receiving a specific request in this regard. In case of any delay, the reasons for such delay would be explained to AMFI in writing. If the NAVs are not available before the commencement of Business Hours on the following day due to any reason, the Mutual Fund shall issue a press release giving reasons and explaining when the Mutual Fund would be able to publish the NAV.
For Investor Grievances please contact	Name and Address of Registrar: KFIN Technologies Limited Selenium Building, Tower-B, Plot No. 31 & 32, Financial District, Nanakramguda, Serilingampally, Hyderabad, R. R. District, Telangana India 500032 Email: www.kfintech.com Investor Relation Officer: Mr. Rahul Mohite Old Bridge Asset Management Private Limited 1705, ONE BKC, C - Wing, G – Block, Bandra Kurla Complex, Bandra East, Mumbai -400051. Phone no.: 022 65369100 Email: services@oldbridgemf.com For any grievances with respect to transactions through BSE StAR and / or NSE MFSS, the investors / Unit Holders should approach either the stock broker or the investor grievance cell of the respective stock exchange.
Unitholder's Information	• On acceptance of the application for subscription, an allotment confirmation specifying the number of units allotted by way of e- mail and/or SMS within 5

	business days from the date of receipt of transaction request/ allotment will be sent to the Unit Holders registered e-mail address and/or mobile number. • In case of Unit Holders holding units in the dematerialized mode, the Fund will not send the account statement to the Unit Holders. The statement provided by the Depository Participant will be equivalent to the account statement. • For those Unit holders who have provided an e-mail address, the AMC will send the account statement by e-mail. The Unit holder may request for a physical account statement by writing/calling the AMC/ISC/Registrar. In case of specific request received from the Unit Holders, the AMC/Fund will provide the Account Statement to the Investors within 5 business days from the receipt of such request. **Consolidated Account Statement (CAS)** A Consolidated Account Statement (CAS) detailing all the transactions across all mutual funds and holdings at the end of the month shall be sent to the Unit holders in whose folio(s) transaction(s) have taken place during the month on registered email address on or before 12th of the succeeding month and by 15th of the succeeding month for those who have opted for physical copy. The AMC shall identify common investors across fund houses by their permanent account number (PAN) for the purposes of sending CAS. In the event the account has more than one registered holder, the first named Unitholder shall receive the CAS. • The transactions viz. purchase, redemption, switch, systematic withdrawal plan, carried out by the Unitholders shall be reflected in the CAS on the basis of PAN. • The CAS shall not be received by the Unit holders for the folio(s) not updated with PAN details. The Unit holders are therefore requested to ensure that the folio(s) are updated with their PAN. • Pursuant to SEBI Circular no. CIR /MRD /DP /31/2014 dated November 12, 2014, Depositories shall generate and dispatch a single consolidated account statement for investors (in whose folio the transaction has taken place during the month) having mutual fund investments and holding demat accounts. • Based on the PANs provided by the asset management companies / mutual funds' registrar and transfer agents (AMCs/MF-RTAs, the Depositories shall match their PAN database to determine the common PANs and allocate the PANs among themselves for the purpose of sending CAS. For PANs which are common between depositories and AMCs, the Depositories shall send the CAS. In other cases (i.e. PANs with no demat account and only MF units holding), the AMCs/ MF-RTAs shall continue to send the CAS to their unit holders as is being done presently in compliance with the Regulation 36(4) of the SEBI (Mutual Funds) Regulations. • Where statements are presently being dispatched by email either by the Mutual Funds or by the Depositories, CAS shall be sent through email. However, where an investor does not wish to receive CAS through email, option shall be given to the investor to receive the CAS in physical form at the address registered in the Depository system. **Half Yearly Consolidated Account Statement** • Half-yearly CAS shall be issued to all investors providing the prescribed details across all schemes of mutual funds and securities held in dematerialized form across demat accounts, if applicable, at the end of every six months (i.e. September/ March) on or before 18th day of succeeding month on registered email address and 21st day of succeeding month through physical copy for those who do not have registered email addresses.

	Account Statement for demat account holders In case of Unit Holders holding units in the dematerialized mode, the AMC will not send the account statement to the Unit Holders. The demat statement issued by the Depository Participant would be deemed adequate compliance with the requirements in respect of dispatch of statements of account. In case of Unit Holders holding units in the dematerialized mode, the AMC will not send the account statement to the Unit Holders. The demat statement issued by the Depository Participant would be deemed adequate compliance with the requirements in respect of dispatch of statements of account **Option to Hold Units in Dematerialized (Demat) Form** Investors shall have an option to receive allotment of Mutual Fund units in their demat account while subscribing to the Scheme in terms of the guidelines/ procedural requirements as laid by the Depositories (NSDL/CDSL) from time to time. The Applicants intending to hold Units in demat form will be required to have a beneficiary account with a Depository Participant (DP) of the NSDL/CDSL and will be required to mention in the application form DP's Name, DP ID No. and Beneficiary Account No. with the DP at the time of purchasing Units. Investors desirous of having the Units of the Scheme in dematerialized form should contact the ISCs of the AMC/Registrar. In case investors desire to convert their existing physical units (represented by statement of account) into dematerialized form or vice versa, the request for conversion of units held in physical form into Demat (electronic) form or vice versa should be submitted along with a Demat/Remat Request Form to their Depository Participants. In case the units are desired to be held by investor in dematerialized form, the KYC performed by Depository Participant shall be considered compliance of the applicable SEBI norms. Further, demat option shall also be available for SIP transactions. Units will be allotted based on the applicable NAV as per Scheme Information Document and will be credited to investors Demat Account on weekly basis on realization of funds. For details, Investors may contact any of the Investor Service Centers of the AMC.
Monthly and Half yearly Disclosures	The AMC shall disclose portfolio of the Scheme (along with ISIN) as on the last day of the month on the website www.oldbridgemf.com and of the Association of Mutual Funds in India – AMFI (www.amfiindia.com) within 10 days from the close of each month respectively in a user-friendly and downloadable spreadsheet format. In case of unitholders whose email addresses are registered, AMC will send via email the monthly portfolio.. AMC will provide a physical copy of the statement of its Scheme portfolio, without charging any cost, on specific request received from a unitholder. The link of Fund website for Monthly Portfolio is: https://oldbridgemf.com/statutory-disclosures.html#v-pills-tabContent2
Half Yearly Results	The Mutual Fund shall within one month from the close of each half year, that is on 31st March and on 30th September, host a soft copy of its unaudited financial results on the website of the AMC (www.oldbridgemf.com) and AMFI (www.amfiindia.com). The unaudited financial results will also be displayed on the website of the AMC and AMFI. The link for Half Yearly results is: https://oldbridgemf.com/statutory-disclosures.html#v-pills-tabContent0 The link for half yearly portfolio: https://oldbridgemf.com/statutory-disclosures.html#v-pills-tabContent3

Annual Report	Scheme wise Annual Report or an abridged summary thereof shall be mailed to all unitholders within four months from the date of closure of the relevant financial year i.e. 31st March each year as under: • by email to the unitholders whose email address is available with the Mutual Fund. • in physical form to the unitholders whose email address is not available with the Fund and/or to those Unit holders who have opted / requested for the same. The physical copy of the scheme wise annual report or abridged summary shall be made available to the investors at the registered office of the AMC. The link of Fund website for Annual Report is: https://oldbridgemf.com/statutory-disclosures.html#v-pills-tabContent0

IMPORTANT Before investing, investors should also ascertain about any further changes pertaining to scheme such as features, load structure, etc. made to the Scheme Information Document/ Key Information Memorandum by issue of addenda/ notice after the date of this Document from the AMC/ Mutual Fund/ Investor Service Centres (ISCs)/ Website/ Distributors or Brokers or Investment Advisers holding valid registrations.

SCAN TO INVEST NOW

OLD BRIDGE ASSET MANAGEMENT

Old Bridge Asset Management Private Limited - Registered Office: 1705, One BKC, C Wing, G Block, Bandra Kurla Complex, Bandra (East), Mumbai - 400051.

OLD BRIDGE MUTUAL FUND COMMON APPLICATION FORM

OLD BRIDGE FOCUSED FUND

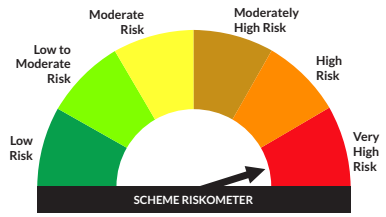
(An Open-ended Equity Scheme investing in maximum 30 stocks) (Multi Cap)

This product is suitable for investors who are seeking*

- Capital Appreciation over long-term.
- Investing in concentrated portfolio of equity and equity related instruments of up to 30 companies.

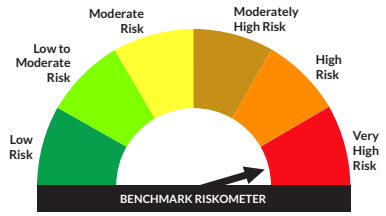
#Risk-o-meter

Old Bridge Focused Fund



The risk of the Scheme is Very High

Benchmark As per AMFI Tier I Benchmark i.e. BSE 500 TRI



The risk of the Benchmark is Very High

OLD BRIDGE ARBITRAGE FUND

(An Arbitrage Fund - An open ended scheme investing in arbitrage opportunities)

This product is suitable for investors who are seeking*

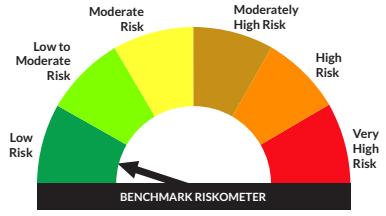
- Income over short term.
- Income through arbitrage opportunities between the equity spot and equity derivatives market and arbitrage opportunities within the equity derivatives segment.

#Risk-o-meter

Old Bridge Arbitrage Fund

The risk of the Scheme is Low

Benchmark As per AMFI Tier I Benchmark i.e. Nifty 50 Arbitrage TR Index



The risk of the Benchmark is Low

OLD BRIDGE FLEXI CAP FUND

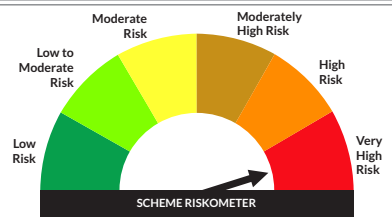
(An open ended dynamic equity scheme investing across large cap, mid cap, small cap stocks)

This product is suitable for investors who are seeking*

- Long term capital appreciation.
- Investments in equity and equity related instruments across large cap, mid cap, small cap stocks.

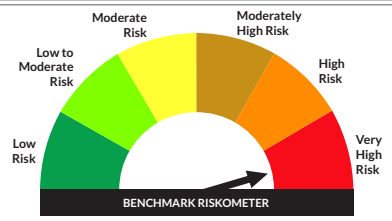
#Risk-o-meter

Old Bridge Flexi Cap Fund



The risk of the Scheme is Very High

Benchmark As per AMFI Tier I Benchmark i.e. BSE 500 TRI



The risk of the Benchmark is Very High

*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.

#For latest riskometer, investors may refer to the Monthly Portfolios disclosed on the website of the Fund viz. www.oldbridgemf.com.

The applicable Risk-o-meters can be reviewed on a monthly basis and notice about changes, if any, shall be issued. All other details of the Product Label and all other terms and conditions of the Scheme will remain unchanged.

MUTUAL FUND INVESTMENTS ARE SUBJECT TO MARKET RISKS, READ ALL SCHEME RELATED DOCUMENTS CAREFULLY.

SCAN TO INVEST NOW

OLD BRIDGE ASSET MANAGEMENT

Old Bridge Asset Management Private Limited - Registered Office: 1705, One BKC, C Wing, G Block, Bandra Kurla Complex, Bandra (East), Mumbai - 400051.

OLD BRIDGE MUTUAL FUND COMMON APPLICATION FORM

PLEASE READ THE KEY INFORMATION MEMORANDUM, INSTRUCTIONS AND PRODUCT LABELLING BEFORE FILING OF THIS FORM.
ALL SECTIONS TO BE COMPLETED IN ENGLISH IN BLOCK LETTERS. (Investors applying under Direct Plan must mention "Direct" in ARN column.) (Refer Instruction no. 2)

Distributor ARN	SUB-Distributor ARN	Internal SUB-Broker/Sol ID	EUIN	RIA CODE^
			E -	

Employee Code	PMR (Portfolio Manager's Registration) Number^^	Serial No., Date & Time Stamp

Upfront commission, if any, shall be paid directly by the investor to the AMFI registered distributors based on the investors asessment of various factors, including the service rendered by the distributor. ^I/We, have invested in the scheme(s) of Old Bridge Mutual Fund under Direct Plan. I/We hereby give my/our consent to share/provide the transactions data feed/portfolio holdings/ NAV etc. in respect of my/our investments under Direct Plan of all schemes of Old Bridge Mutual Fund, to the above mentioned SEBI Registered Investment Adviser. ^^I/We, have invested in the scheme(s) of Old Bridge Mutual Fund under Direct Plan. I/We hereby give my/our consent to share/provide the transactions data feed/portfolio holdings/ NAV etc. in respect of my/our investments under Direct Plan of all schemes of Old Bridge Mutual Fund, to the above mentioned SEBI Registered Portfolio Manager.

☐ I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/ relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

First/Sole Holder / Guardian | Second Holder | Third Holder | Power of Attorney Holder

UNIT HOLDING OPTION ☐ Physical Mode ☐ Demat Mode (in case of Demat, please fill sec 8)

1 APPLICATION INFORMATION (Mandatory, To be filled in BLOCK LETTERS) (In case of investment "On behalf of minor", Please refer instruction No. 11)

If you have an existing folio no. with PAN & KYC validation, please mention your name & folio No. and proceed to section 6 My Investment Details.

Existing folio number ☐ **I/ We want to create new Folio** (Instruction No. 23)

Mode of Operation ☐ Single ☐ Joint ☐ Either or Survivor(s) [Default] (Joint applicant details not to be filled in case of minor investments).

1st Holder Name (Should match with PAN Card)

Date of Birth/Date of Incorporation (For Non Individual Only) **PAN/PEKRN** (1st Holder) ☐ **KYC**

My Guardian's Name (if minor)**/POA/ Contact Person** (For Non-individuals) **PAN/PEKRN** (Guardian/POA Holder) ☐ **KYC**

Date of Birth **Minor's** D D M M Y Y Y Y Attach Mandatory Documents as per instructions

Guardian named is ☐ Father ☐ Mother ☐ Court Appointed ☐ Other _____ **Nationality** ☐ **Date of Birth** Proof attached*

2 JOINT APPLICANTS (IF ANY) DETAILS

2nd Holder Name (Should match with PAN Card)

Date of Birth/Date of Incorporation (For Non Individual Only) **PAN/PEKRN** (Second Holder) ☐ **KYC**

3rd Holder Name (Should match with PAN Card)

Date of Birth/Date of Incorporation (For Non Individual Only) **PAN/PEKRN** (Third Holder) ☐ **KYC**

3 MY CONTACT DETAILS (As per KYC records. To be filled in Block Letters) (For electronic communication, Please refer instruction No. 16)

Address Type (Mandatory) ☐ Residential & Business ☐ Residential ☐ Business ☐ Registered Office

Address

City State Pin Code

3 MY CONTACT DETAILS (CONTD.) (As per KYC records. To be filled in Block Letters) (For electronic communication, Please refer instruction No. 16)

OVERSEAS ADDRESS (Mandatory in case of NRIs /FIIs/PIOs/ OCIs) (P. O. Box Address may not be sufficient)

City State Code

Email ID and Mobile number should pertain to First Holder only.

Mobile No. Tel No. Email ID (CAPITAL letters only)

Mobile No. / Email ID* provided pertains to (Please tick(✓)) * if none of the below options is ticked (✓) or selected then (Self) option is considered as a default. I hereby declare that I shall immediately notify any change to the mobile number/ email id. (Refer instruction 16)

☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian ☐ PMS

☐ I wish to receive Scheme **Account Statement along with Annual Report & Abridged Summary:** ☐ **Online** (Preferred & Default) ☐ **Physical Copy** (Choose online mode to help us save paper & contribute towards a greener & cleaner environment.)

☐ I declare that **Email address and Mobile Number** provided in this form belongs to (✓ any one): ☐ Self **OR** ☐ Family Member, and approve for usage of these contact details for any communication with Old Bridge Mutual Fund.

4 BANK ACCOUNT DETAILS

(Please note that as per SEBI Regulations it is mandatory for investors to provide their bank account details. Refer Instruction No. 6)

(Avail Multiple Bank Registration Facility)

My Bank Name

Bank A/C No. | A/C Type: ☐ Savings ☐ Current ☐ NRE ☐ NRO ☐ FCNR ☐ Others

Branch Address

City | State | Pin Code

IFSC code: (11 digit) | MICR code (9 digit) (This is a 9 digit number next to your cheque number)

LEI Code | Valid up to D D M M Y Y Y Y

Note: Mandatory to provide LEI code if transaction value is equal to or exceeds ₹ 50 crore limit, along with LEI proof. (Refer Instruction No. 24)

5 KNOW YOUR CUSTOMER (KYC) DETAILS

(Please Tick ✓/ Specify.)

(For KYC details. Refer Instruction No. 8)

Tax Status details for	1st Applicant	2nd Applicant	3rd Applicant	Guardian
Resident Individual	☐	☐	☐	☐
NRI/PIO/OCI	☐	☐	☐	☐
Sole Proprietorship	☐	-	-	-
Minor through Guardian	☐	-	-	-
Non Individual	☐ Company ☐ Body Corporate ☐ Partnership ☐ Trust ☐ Society ☐ HUF ☐ Bank ☐ AOP ☐ FI ☐ FII ☐ FPI ☐ In case of Non-Profit Entity (refer point no 19)			
Others (Please specify)				

We are falling under **"Non-Profit Organization" [NPO]** which has been constituted for religious or charitable purposes referred to in clause (15) of section 2 of the Income-tax Act, 1961 (43 of 1961), and is registered as a trust or a society under the Societies Registration Act, 1860 (21 of 1860) or any similar State legislation or a Company registered under the section 8 of the Companies Act, 2013 (18 of 2013). ☐ YES ☐ NO

If yes, please quote Registration No. of Darpan portal of Niti Aayog. (refer point no 19)

Gross Annual Income Range (in ₹)	Below 1 lac	5-10 lac	25 lac-1 cr	5-10 cr	1-5 lac	10-25 lac	1 -5 cr	> 10 cr
1st Applicant	☐	☐	☐	☐	☐	☐	☐	☐
2nd Applicant	☐	☐	☐	☐	☐	☐	☐	☐
3rd Applicant	☐	☐	☐	☐	☐	☐	☐	☐
Guardian	☐	☐	☐	☐	☐	☐	☐	☐
OR Networth in ₹ (Mandatory for Non Individual) (not older than 1 year)	as on D D M M Y Y		as on D D M M Y Y		as on D D M M Y Y		as on D D M M Y Y	

Occupation details for	1st Applicant	2nd Applicant	3rd Applicant	Guardian
Private Sector	☐	☐	☐	☐
Public Sector	☐	☐	☐	☐
Government Service	☐	☐	☐	☐
Business	☐	☐	☐	☐
Professional	☐	☐	☐	☐
Agriculturist	☐	☐	☐	☐
Retired	☐	☐	☐	☐
Housewife	☐	☐	☐	☐
Student	☐	☐	☐	☐
Others (Please specify)				

Politically Exposed Person (PEP) details	Is a PEP	Related to PEP	Not Applicable
1st Applicant	☐	☐	☐
2nd Applicant	☐	☐	☐
3rd Applicant	☐	☐	☐
Guardian	☐	☐	☐
Authorised Signatories	☐	☐	☐
Promoters	☐	☐	☐
Partners	☐	☐	☐
Karta	☐	☐	☐
Whole-time Directors/Turstee	☐	☐	☐

6 MY INVESTMENT DETAILS

(For investments, Please refer instruction No. 13)

☐ **LUMPSUM**

Scheme Name: ☐ **Old Bridge Focused Fund** ☐ **Old Bridge Arbitrage Fund** ☐ **Old Bridge Flexi Cap Fund**

Plan: ☐ Regular ☐ Direct | Option: ☐ Growth ☐ IDCW : ☐ Payout ☐ Reinvestment

Default Option : Growth Option in case Growth Option or Income Distribution cum Capital Withdrawal (IDCW) Option is not indicated. Payout Option / facility in case Payout of IDCW Option / facility or Reinvestment of IDCW Option / facility is not indicated.

Documents attached to avoid Third Party Payment Rejection, if applicable: ☐ **Bank Certificate, for DD** ☐ **Third Party Declarations**

☐ PAYMENT DETAILS - LUMP SUM

Payment Instruction Type: ☐ Cheque ☐ RTGS ☐ NEFT ☐ Fund Transfer ☐ NACH Mandate (If Multiple One Time mandates are registered)

Payment Reference no:		**Cheque Bank Account No:**	
Lump sum Amount:		**Cheque IFSC Code:**	
Cheque Dated:		**Cheque MICR Code:**	
Cheque Bank Name:		**OTM Reference No.**	

7 NOMINATION DETAILS

(For nomination, Please refer instruction No. 17)

I / We hereby nominate the following person(s) who shall receive all the assets held in my / our account / folio in the event of my / our demise, as trustee and on behalf of my / our legal heir(s)*

Name of the Nominee 1* | Nomination (%)*

Relationship with applicant* | Mobile Number*

Email ID* | Residential Address*

Pincode*

Proof of Identity* ☐ Pan ☐ Driving Licence ☐ Aadhar ☐ Passport number in case of NRI/ OCI/ PIO | Identification No*

Nominee / Guardian (In Case of Minor) | DOB* D D M M Y Y Y Y

7 NOMINATION DETAILS (CONTD.)

(For nomination, Please refer instruction No. 17)

I / We hereby nominate the following person(s) who shall receive all the assets held in my / our account / folio in the event of my / our demise, as trustee and on behalf of my / our legal heir(s)*

Name of the Nominee 2* _____ Nomination (%)* ___

Relationship with applicant* _____ Mobile Number* _____

Email ID* _____ Residential Address* _____

_____ Pincode* _____

Proof of Identity* ☐ Pan ☐ Driving Licence ☐ Aadhar ☐ Passport number in case of NRI/ OCI/ PIO Identification No* _____

Nominee / Guardian (In Case of Minor) _____ DOB* D D M M Y Y Y Y

Name of the Nominee 3* _____ Nomination (%)* ___

Relationship with applicant* _____ Mobile Number* _____

Email ID* _____ Residential Address* _____

_____ Pincode* _____

Proof of Identity* ☐ Pan ☐ Driving Licence ☐ Aadhar ☐ Passport number in case of NRI/ OCI/ PIO Identification No* _____

Nominee / Guardian (In Case of Minor) _____ DOB* D D M M Y Y Y Y

*All fields are mandatory.

I / We want the details of my / our nominee to be printed in the statement of holding, provided to me/ us by the AMC as follows; (please tick, as appropriate)

☐ Name of nominee(s) ☐ Nomination: Yes/No

DECLARATION FOR OPTING-OUT OF NOMINATION (Signature as per Mode of Holding (Mandatory))

☐ I / We hereby confirm that I / We do not wish to appoint any nominee(s) in my / our Mutual Fund Folio and understand the issues involved in non appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our Mutual Fund Folio, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the Mutual Fund Folio. This nomination shall supersede any prior nomination made by me / us, if any.

Name and Signature of Holder	Signature(s) of holder/ Thumb impression	Witness Name and Address (Witness is required only in case of Thumb impression of holder)	Witness Signature (Witness is required only in case of Thumb impression of holder)
Sole / First Holder _____			
Second Holder _____			
Third Holder _____			

8 DEPOSITORY ACCOUNT DETAILS

(For DEMAT details Please refer instruction No. 18)

Mandatory for (i) FPIs and (ii) investors who wish to hold the units in Demat Mode (Account statement (CAS) for units held in demat mode will be issued only by NSDL/CDSL) (Please ensure that the sequence of names as mentioned in the application form matches with that of the A/c held with the depository participant) Refer Instruction No. 18.

NSDL:	Depository Participant Name _____	**DP ID:** I N _____
	Beneficiary Ac No. _____	
CDSL:	Depository Participant Name _____	
	Beneficiary Ac No. _____	

Enclosed ☐ Client Master ☐ Transaction / Statement Copy / DIS Copy

ACKNOWLEDGEMENT

OLD BRIDGE ASSET MANAGEMENT

Received from _____

Scheme Name ☐ Old Bridge Focused Fund ☐ Old Bridge Arbitrage Fund ☐ Old Bridge Flexi Cap Fund

Plan _____ **Option** _____ **Amount** _____

Instrument No. _____ **Date** D D M M Y Y Y Y **Bank & Branch details** _____

Stamp & Signature

9 UBO Details

(Please refer instruction No. 8)

Details of Beneficial Ownership (Please tick applicable category).Ownership details to be provided if the Ownership percentage/interest in the trust of any Beneficiary is as per the threshold limit provided below. Details to be provided for each such beneficiary. (Mandatory for Non Individual)

☐ Category	☐ Unlisted company	☐ Partnership Firm	☐ Unincorporated Association/Body of Individuals	☐ Trust	☐ Foreign Investor $$$
Ownership percent @@@	>10%	>15%	>15%	>=15%	

@@@ Ownership percentage of shares/capital/profits/property of juridical person/interest in the Trust as on the date of the application shall be furnished by the investor.
$$$In the case of Foreign investors, the beneficial ownership will be determined as per SEBI guidelines. For details refer to SAI. In case of any change in the beneficial ownership, the investor will be responsible to intimate OBAMC/its Registrar/KRA as may be applicable immediately about such change.

Details of Beneficial Ownership (Please attach a separate sheet with this format if the space provided is insufficient)

Sr	Name	Address	Details of Identity such as PAN/Passport	% of ownership

[Please attach self attested copy of PAN/Passport (proof of photo identity) along with application form]

10 ADDITIONAL INFORMATION

(For additional information Please refer instruction No. 8A)

Applicant	KIN No. (If KYC done via CKYC)	DOB/DOI#	Gender
First Applicant		D D M M Y Y Y Y	☐ Male ☐ Female ☐ Transgender
Second Applicant		D D M M Y Y Y Y	☐ Male ☐ Female ☐ Transgender
Third Applicant		D D M M Y Y Y Y	☐ Male ☐ Female ☐ Transgender
Guardian or POA^		D D M M Y Y Y Y	☐ Male ☐ Female ☐ Transgender

#Date of Birth - Mandatory if CKYC ID mentioned. ^G: Guardian; POA: Power Of Attorney DOB: Date of Birth and DOI: Date of Incorporation

Details	Second Applicant	Third Applicant	Guardian or POA
Mobile No.			
Email Id.			
Relationship with Investor			

☛ I declare that **Email address** provided in this form belongs to (tick any one): ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian ☐ PMS and approve for usage of these contact details for any communication with Old Bridge Mutual Fund.

☛ I declare that **Mobile Number** provided in this form belongs to (tick any one) ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian ☐ PMS and approve for usage of these contact details for any communication with Old Bridge Mutual Fund.

If above any option is not ticked (✓) or selected then (Self) option is considered as a default.

11 FATCA AND CRS DETAILS

For Individuals (Mandatory). Non Individual investors including HUF should mandatorily fill separate FATCA/CRS/UBO details form

(Including Sole Proprietor. Refer Instruction No. 20)

Details	1st Holder		2nd Holder		3rd Holder		Guardian/POA Holder	
Place & Country of Birth								
Nationality								
TIN No.								
Are you a tax resident of any country other than India?	☐ Yes	☐ No	☐ Yes	☐ No	☐ Yes	☐ No	☐ Yes	☐ No
	If Yes: Mandatory to enclose FATCA /CRS Annexure							

For all the NRI Tax Categories – TIN is mandatory, where ever investor had declared his country of tax residency other than India, in their FATCA Declaration.

- Tax Identification Number or Reason for not providing - In case any of applicant being resident/ tax payer in more than one country, provide tax identification number for each such country separately
- TIN Identification Type (TIN or Other, please specify)

12 DECLARATION AND SIGNATURE

(For declaration and signature, please refer point number 4)

I/We hereby confirm and declare as under:

I/We have read and understood the contents of the Statement of Additional Information of Old Bridge Mutual Fund (OBMF) and the Scheme Information Document(s)/Key Information Memorandum of the respective Scheme(s) and Addenda thereto, issued from time to time and as well as the rules and regulations of SEBI, AMFI, Prevention of Money Laundering Act, 2002 or any other regulator. I/We, hereby apply to the Trustee of OBMF for allotment of units of the respective Scheme(s) of OBMF, as indicated above and agree to abide by the terms, conditions, rules and regulations of the relevant Scheme(s). I/We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this investment. I/We am/are eligible Investor(s) as per the scheme related documents and not prohibited from accessing capital markets by any order/ruling / judgment etc. passed by SEBI/ Statutory Authority or Courts in India and Foreign laws. I am/We are authorised to make this investment as per the Constitutive documents/ authorization(s). The amount invested in the Scheme(s) is through legitimate sources only and is not for the purpose of contravention and/or evasion of any act, rules, regulations, notifications or directions issued by any regulatory authority in India. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme(s) is/are being recommended to me/us. I/We declare that the information given in this application form is correct, complete and truly stated. In the event of my/our not fulfilling the KYC process to the satisfaction of the Old Bridge Asset Management Private Limited (OBAMPL)/OBMF, I/We hereby authorise the OBAMPL/ OBMF to redeem the units against the funds invested by me/us at the applicable NAV as on the date of such redemption. I/We agree to notify OBAMPL immediately in the event the information in the self-certification changes. I/We hereby consent for providing transactions data feed, portfolio holdings, NAV etc. in respect of my/our transactions under Direct Plan to the RIA/Portfolio Managers/Stock Broker registered in the concerned folio, if applicable. I/We shall be liable and responsible for any loss, claims suffered, directly or indirectly by OBAMPL/OBMF / RTA/ SEBI Intermediaries, arising out of any false, misleading, inaccurate and incomplete information furnished by me/us at the time or investing/redeeming the units. I/We hereby unconditionally and irrevocably indemnify and at all time keep indemnified, save and harmless OBAMPL/OBMF/Trustee and their officers, directors and employees against all actions, proceedings, claims, losses, damages, charges and expenses incurred or suffered /paid by OBAMPL/OBMF in this regard and in case of any dispute regarding the eligibility, validity and authorization of my/our transactions. **I/We hereby confirm that I/We have not been offered/communicated any indicative portfolio and/or any indicative yield by the OBAMPL/OBMF /its distributor for this investment. For investors investing in Direct Plan:** I/We hereby agree that the OBAMPL has not recommended or advised me/us regarding the suitability or appropriateness of the product/scheme/plan.

12 DECLARATION AND SIGNATURE (CONTD.)

(For declaration and signature, please refer point number 4)

Applicable to Micro Investors: I/We hereby declare that I/We do not have any existing Micro investments which together with the current application will result in aggregate investments exceeding Rs. 50,000 in a year. **Applicable to NRIs:** I/We confirm that I am/We are Non-Resident(s) of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my/our Non-Resident External/Ordinary Account/FCNR Account(s). **For Foreign Nationals:** I/We will redeem my/our entire investment/s before I/We change my/our Indian residency status. I/We shall be fully liable for all consequences (including taxation) arising out of the failure to redeem on account of change in residential status. **FATCA and CRS Declaration:** I/We hereby acknowledge and confirm that the information provided in this form is true and correct to the best of my/our knowledge and belief. In case any of the above specified information is found to be false or untrue or misleading or misrepresenting, I/We shall be liable for it. I/We also undertake to keep you informed in writing about any changes/modification to the above information in future and also undertake to provide any other additional information as may be required at your end. I/We hereby authorise you to disclose, share, remit in any form, mode or manner, all/any of the information provided by me/us, including all changes, updates to such information as and when provided by me/us to OBMF, its Sponsor, OMAMPL, trustees, their employees ('the Authorised Parties') or any Indian or foreign governmental or statutory or judicial authorities/agencies including but not limited to the Financial Intelligence Unit-India (FIU-IND), the tax /revenue authorities and other investigation agencies without any obligation of advising me/us of the same. I/We hereby provide my/our consent for fetching of Investor data & Documents which are available in the KRA/CKYC registry records that may be relevant to this investment. **Consent for Telemarketing:** I/We hereby accord my/our consent to Old Bridge AMC for receiving the promotional information/ material via email, SMS, telemarketing calls etc. on the mobile number and email provided by me/us in this Application Form. **Consent for disclosure of Personal Information in terms of Privacy Policy:** I/We hereby confirm to have read, understood and agree to the terms of Privacy Policy (available on https://www.oldbridgemf.com) ("Policy") of OBAMPL/OBMF. I/We hereby accord my/our consent to OBAMPL/OBMF for collecting, receiving, possessing, storing, dealing, handling or disclosure of my/ our Personal Data and hereby authorize to disclose it to the third party or another body corporate or any person acting under a lawful contract with OMAMPL, in accordance with the Privacy Policy.

1st Holder / Guardian | 2nd Holder | 3rd Holder | Power of Attorney Holder

Date D D M M Y Y Y Y Place

QUICK CHECKLIST

- ☐ KYC acknowledgement letter (Compulsory for MICRO Investments)
- ☐ Self attested PAN card copy
- ☐ Plan / Option / Sub Option name mentioned in addition to scheme name
- ☐ Multiple Bank Accounts Registration form (if you want to register multiple bank accounts so that future payments can be made from any of the accounts)
- ☐ Email id and mobile number provided for online transaction facility (if application is in the name of a minor)
- ☐ FATCA Declaration
- ☐ SIP Registration Form for SIP investments
- ☐ Relationship proof between guardian and minor
- ☐ Additional documents attached for Third Party payments. Refer instruction No. 7.

POINTS TO REMEMBER

Please ensure that

1. Your Application Form is complete in all respects & signed by all applicants.
2. Name, Address and Contact Details are mentioned in full. Email id & Mobile number should be provided along with the declaration whether it belongs to Self or a Family member.
3. Bank Account Details are entered completely and correctly. IFSC Code & 9 digit MICR Code of your Bank is mentioned in the Application Form.
4. Permanent Account Number (PAN) Mandatory for all Investors (Indian & NRI) Irrespective of the Investment amount.
5. Know Your Client (KYC) Mandatory for irrespective of the amount of investment (please refer the guideline 4(e) for more information)
6. Your Investment Cheque is drawn in favour of < Beneficiary Name > dated and signed.
7. Application Number is mentioned on the reverse of the cheque.
8. A cancelled Cheque leaf of your Bank is enclosed in case your investment cheque is not from the bank account that you have furnished in the Application Form.
9. Documents as listed are submitted along with the Application form (as applicable to your specific case)

Documents	Individuals	Companies	Societies	Partnership Firms/LLP	Investments HUF through PoA	Trust	NRI/PIO/ OCI	FII(s)/FPI	Sole Proprietor	Minor	HUF
Resolution / Authorisation to invest		✓	✓	✓		✓		✓			✓
HUF / Trust Deed						✓					
Bye - Laws			✓								
Partnership Deed				✓							
SEBI Registration / Designated Depository Participant Registration Certificate 2								✓			
Proof of Date of birth										✓	
Notarised Power of Attorney					✓						
Foreign Inward Remittance Certificate, in case payment is made by DD from NRE / FCNR a/c, where applicable							✓				
KYC Acknowledgement/PAN Proof	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
FATCA CRS/UBO Declaration		✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
MOA/AOA		✓									

1. Self attestation is mandatory. 2. Copy of SEBI registration certificate (for FII) or Designated Depository Participant registration certificate (for FPI) should be provided.

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INSTRUCTIONS FOR COMPLETING THE APPLICATION FORM

(Please read the SID carefully before signing the application form and tendering payment.)

1. **GENERAL INSTRUCTIONS**
   a. The application form should be completed in ENGLISH and in BLOCK LETTERS.
   b. All cheques, demand drafts and pay orders should be crossed "Account Payee only" and made in favour of "Scheme Name A/c First Investor Name" or "Scheme Name A/c Permanent Account No.".
   c. If the Scheme name on the application form and on the payment instrument are different, the application may be processed and units allotted at applicable NAV of the scheme mentioned in the application / transaction slip duly signed by investor(s).
   d. Any over-writing / changes made while filling the form must be authenticated by canceling the original entry, re-entering correct details and ensuring that all applicants counter-sign against each correction.
   e. Application forms along with supporting documents can be submitted to ISCs / OPAs, contact details of which are available on www.oldbridgemf.com.
   f. Investors must write the application form number / folio number on the reverse of the cheque / demand draft.
   g. Investors are requested to check contents of the account statement on receipt. Any discrepancy should be reported to the AMC / Registrar within 7 calendar days of the receipt of the statement; else contents of the statement would be presumed to be correct and binding. The AMC may modify any discrepancy at its discretion.
   h. Units will be allotted subject to realization of payment proceeds.
   i. Unitholder / Guardian name should be same as per PAN / KYC records. Please note that AMC at discretion may replace the name as per KRA.
   j. FATCA Declaration: Individual investors, please fill in FATCA / CRS annexure and attach along with Application form. Non-Individual investors, please fill in UBO form along with FATCA / CRS annexure and attach along with Application form available on our website www.oldbridgemf.com
   k. Please read the Key Information Memorandum/ Scheme Information Document(s) of the Scheme and Statement of Additional Information and addenda issued from time to time (Scheme Documents) carefully before investing in the Scheme. Investors are requested to read and acquaint themselves about the prevailing Load structure on the date of submitting the Application Form. Also refer to instruction for ASBA application.
   l. Upon signing and submitting the Application Form and tendering payment it will be deemed that the investors have accepted, agreed to and shall comply with the terms and conditions detailed in the Scheme Documents. Applications complete in all respects, may be submitted at the Official Points of Acceptance of Old Bridge Mutual Fund (the Fund).
   m. SEBI has banned rebating in any form. Investors should not be guided by considerations other than the Scheme's objective for investment.
   n. Allotment is assured to all applicants provided the applications are complete in all respects and are in order. Applications not complete in any respect are liable for rejection.
2. **DIRECT INVESTMENTS**

   Investors subscribing under Direct Plan of the scheme will have to indicate "Direct Plan" against the scheme name in the application form e.g. "Old Bridge Flexi Cap Fund - Direct Plan". Investors should also indicate "Direct" in the ARN column of the application form. However, in case Distributor code is mentioned in the application form, but"Direct Plan" is indicated against the scheme name, the application will be processed under Direct Plan. Further, where application is received for Regular Plan without Distributor code or "Direct" mentioned in the ARN Column, the application will be processed under Direct Plan.
3. **EMPLOYEE UNIQUE IDENTIFICATION NUMBER (EUIN)**

   Investor investing through distributor shall mention EUIN on the application form, if he/she has been advised by Sales Person/ Employee/ Relationship Manager of the distributor this would assist in addressing any instance of mis-selling. If left blank, applicant(s) need to tick and sign the following declaration "I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker." on the form. SEBI has made it mandatory to obtain EUIN no. for every employee/ relationship manager/ sales person of the distributor for selling mutual fund products.

   Investments through distributors: As per directions of Securities and Exchange Board of India (SEBI), Investors can route their application forms directly and /or through the distributors /employees of the distributor who hold a valid certification from the National Institute of Securities Markets (NISM) and ARN provided by Association of Mutual Funds in India (AMFI). Further, no agents / distributors are entitled to sell units of mutual funds unless the intermediary is registered with AMFI.

   In case SEBI Registered stock brokers/non-individual Investment Advisors (IA)/Portfolio Managers are offering distributor services to their clients, they can offer only Regular Plans for that client using their distributor code/AMFI Registration Number (ARN). Accordingly, they will have visibility of their client's transaction data feeds only for such plans.

   Investment in direct plan through stock broker/non-individual IA/PMS: In case SEBI Registered stock brokers/non-individual Investment Advisors/Portfolio Managers are offering advisory service to their clients, they can execute/invest only in direct plans of Mutual Funds for that client using their SEBI Registration Numbers as Broker/RIA/PMS code.

   Accordingly, they will have visibility of their client's transaction data feeds only for such plans.

   Overseas Distributors : For, overseas Distributors, the ARN Code provided by AMFI is required to be incorporated in the space provided. Overseas Distributors are required to comply with the laws, rules and regulations of jurisdictions where they carry out their operations in the capacity of distributors.
4. **DECLARATION AND SIGNATURES**
   a. Thumb impressions must be attested by a Magistrate / Notary Public under his / her official seal.
   b. In case of HUF, the Karta needs to sign on behalf of the HUF.
   c. Applications by minors should be signed by their guardian.
   d. For Corporates, signature of the Authorised Signatory (from the Authorised Signatory List (ASL)) is required.
   e. Data Protection: By investing in the Scheme, the investor authorizes the AMC to share all sensitive personal data / information collected from the investors with its Registrar and Transfer Agents ("RTA") or with any other third party engaged by the AMC / RTA for the purpose of processing / storage etc. The AMC also authorizes the RTA to collect all such sensitive personal data / information on behalf of the AMC, through any mode of communication either directly from investors or through their distributors or through any other third party engaged by the AMC / RTA. Further, the RTA is entitled to retain all such sensitive personal data / information collected from the investors and distributors or any other third party service providers on a permanent basis for the purpose of authenticating the investor's / distributor's identity.
5. **PAYMENTS**
   a. The AMC intends using electronic payment services (NEFT, RTGS, ECS (Credit), Direct Credit, etc.) to the extent possible for dividends / redemptions for faster realization of proceeds to investors. In case an investor wishes to receive payments vide cheques / demand drafts to be sent using a postal / courier service, please provide appropriate written instructions to the AMC / Registrar for the same.
   b. Outstation cheques and Non CTS cheques will not be accepted and application forms accompanied by such cheques will be rejected. Please do not pay Cash for subscription to any Agent.
   c. Please enclose a cancelled cheque leaf (or copy thereof) in case your investment instrument (pay-in) is not from the same bank account as mentioned under bank account details.
   d. Any communication, dispatch of redemption / dividend payments / account statements etc. would be made by the Registrar / AMC as per reasonable standards of servicing.
6. **BANK DETAILS**

   It is mandatory for investors to mention bank account details on the form as per directives issued by SEBI. Applications without this information are liable to be rejected. The Mutual Fund / AMC reserve the right to hold redemption proceeds in case requisite bank details are not submitted.

   Option to register multiple bank accounts

   The AMC / Mutual Fund has also provided a facility to investors to register multiple bank accounts. By registering multiple bank accounts, investors can use any of their registered bank accounts to receive redemption / dividend proceeds. Any request for a change in bank mandate requires 10 days for validation and verification. Further, these account details will be used by the AMC / Mutual Fund / R&T for verification of instruments (like cheques/DDs/POs) received at the time of subscription / purchase applications to ensure that subscription payments are received only from one of the registered bank accounts. Payments from non-registered bank accounts (called third party payments) will not be accepted (except where permitted as per SEBI regulations). Investors are requested to avail of this facility by filling in the application form for registration of multiple bank accounts available at any of our ISCs / OPAs or on our website www.oldbridgemf.com.

   Cheques submitted at the time of purchase should be from the beneficiary investors account or from an account mentioned in your Multiple Bank Accounts Registration form (except for minors for amounts less than ₹ 50,000 and Corporates / non-individuals).

   Demand drafts submitted at the time of subscription should be accompanied by a banker's certificate clearly stating the investor's name and PAN as well as mentioning that the demand draft has been issued by debiting the investor's own bank account. Pre-funded instruments issued by the bank against cash shall not be accepted for investments of ₹50,000 or more. This pre-funded instrument should also be accompanied by a certificate from the banker giving the investor's name, address and PAN.

   Payments made through RTGS/NEFT/NECS should be accompanied by a banker's certificate stating that the RTGS/NEFT/NECS payment has been made by debiting the investor's own bank account along with mention of the investor's name and PAN.

   Cash Investments : The Old Bridge AMC would not accept Cash for investment in the schemes.
7. **THIRD PARTY PAYMENTS**

   When payment is made through instruments issued from a bank account other than that of the investor, the same is referred to as a Third Party payment. Where an investor has opted to register multiple bank accounts (using the 'Multiple Bank Accounts Registration Form'), and purchase payment is made from an account different from what is registered, any one of the following documents need to be provided as proof along with the payment instrument.
   - Banker's certificate stating that the investment is from the investor's own bank account along with mention of his name and PAN
   - Bank account passbook or statement mentioning the investor's name / PAN

   Restriction on acceptance of Third Party payments for subscriptions, and exceptions thereto
   a. In case of payments from a joint bank account, one of the joint holders of the bank account must be the first account holder under the investment application.
   b. The Asset Management Company shall not accept subscriptions with Third Party payments except in the following situations:
      1. Where payment is made by parents/grand parents/related persons on behalf of a minor in consideration of natural love and affection or as gift for a value not exceeding ₹ 50,000

         (each regular purchase or per SIP installment). However this restriction will not be applicable for payment made by a guardian whose name is registered in the records of Mutual Fund in that folio.
      2. Where payment is made by an employer on behalf of an employee under Systematic Investment Plans through payroll deductions.
      3. Custodian on behalf of an FII or a client.

      Documents to be submitted for exceptional cases
      1. KYC is mandatory for all investors (guardian in case of minor) and the person making the payment i.e. the third party. Investors and the person making the payment should attach their valid KYC acknowledgement letter to the application form.
      2. Submission of a separate, complete and valid 'Third Party Payment Declaration Form' from the investors (guardian in case of minor) and the person making the payment i.e. third party. The said Declaration Form shall,

inter-alia, contain the details of the bank account from which the payment is made and the relationship with the investor(s). Please contact the nearest OPA/ISC of Old Bridge Mutual Fund or visit our website www.oldbridgemf.com for the declaration form.

8. KYC

All Applicants (including POAs and Guardians) are required to be KYC compliant irrespective of the amount of investment. In case you are not KYC certified, please fill in the KYC form (individual or Non-Individual). A KYC acknowledgement letter should be submitted along with application for opening a folio or making an investment. Each holder in the folio must be KYC compliant.

Investors may kindly note that new SEBI Circular issued regarding uniformity in the KYC process was effective from April 01, 2024.

1. SEBI has introduced a common KYC Application Form for all the SEBI registered intermediaries, new Investors are therefore requested to use the common KYC Application Form and carry out the KYC process including In-Person Verification (IPV) with any SEBI registered intermediaries including mutual funds. The KYC Application Forms are available on our website www.oldbridgemf.com.
2. The Mutual Fund shall perform the initial KYC of its new investors and shall also accept the details change form for investors who have done their KYC prior to 31st Dec11.
3. It is mandatory to carry out In-Person Verification(IPV) for processing the KYC of its new / existing investors from January 1, 2012.
4. Once the KYC and IPV-In Person Verification has been done with any SEBI registered intermediary, the investor need not undergo the same process again with any another intermediary including mutual funds. However, the Mutual Fund reserves the right to carry out fresh KYC/additional KYC of the investor.
5. Existing KYC compliant investors of the Mutual Fund can continue to invest as per the current practice.
6. Non-individual investors will have to do a fresh KYC due to significant changes in KYC requirements.
7. In accordance with SEBI Circular No. CIR/MIRSD/13/2013 dated December 26, 2013 read along with Master Circular on Know Your Client (KYC) norms for the securities market dated October 12, 2023 as amended from time to time, the additional details viz. Occupation details, Gross Annual Income/networth and Politically Exposed Person (PEP)* status mentioned under section 2 & 3 which was forming part of uniform KYC form will now be captured in the application form of the Fund. Also, the detail of nature of services viz. Foreign Exchange/Gaming/Money Lending, etc.,(applicable for first/sole applicant) is required to be provided as part of Client Due Diligence (CDD) Process of the Fund.

   The said details are mandatory for both Individual and Non Individual applicants.

   *PEP are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/ military officers, senior executives of state owned corporations, important political party officials, etc.

   Subsequently, SEBI, vide its circular no. MIRSD/Cir-5/2012 dated April 13, 2012 advised various intermediaries to upload KYC data of its existing customers into the KRA system. While uploading KYC data into the KRA system, intermediaries were also required to highlight such 'Missing/Not Available' KYC information of a customer, which was either not required or not taken previously, but was mandatory as per uniform KYC guidelines issued by SEBI.
8. In accordance SEBI KYC Master Circular dated 12 Oct 2023, as amended from time to time, read with the applicable MF Master Circular, it is mandatory, KYC to be verified by KYC Registration Agency before processing redemption. Further, investor requested to complete KYC process before placing redemption request.

   In accordance with SEBI KYC Master Circular dated October 12, 2023, as amended from time to time and applicable current AMFI guidelines, investors may note the following:

   It is mandatory for all new/existing investors to provide additional KYC information such as Income details, Occupation, association with politically exposed person, net worth etc. as mentioned in the application form. Subscription requests, without providing these details, are liable to be rejected. No subscriptions (whether fresh or additional) and switches pertaining to 'KYC on-hold' cases are accepted, unless the investor / unitholder also submits relevant KYC missing / updated information, which is appropriately updated on the KRA - KYC.

   Further, it is mandatory for existing customers to complete In-Person Verification process and provide the missing KYC information failing which their applications / transaction requests for additional subscription (including switches) is liable to be rejected.

8A Operationalisation of Central KYC Records Registry (CKYCR)

Central Registry of Securitisation and Asset Reconstruction and Security interest of India ('CERSAI') has been authorised by Government of India to act as Central KYC Records Registry under Prevention of Money-Laundering (Maintenance of Records) Rules, 2005 ('PMLA Rules').

SEBI KYC Master Circular dated 12 Oct 2023, as amended from time to time, together with SEBI Circular SEBI/HO/MIRSD/SECFATF/P/CIR/2024/79 dated 6 June 2024 has prescribed that the Mutual Fund/ AMC should capture KYC information for sharing with CKYCR as per the KYC template prescribed by CERSAI for uniform and smooth implementation of CKYC norms for onboarding of new investors in mutual funds.

In accordance with the aforesaid SEBI circulars and AMFI best practice guidelines for implementation of CKYC norms with effect from February 1, 2017:

a) Individual investors who have never done KYC process under KRA regime i.e. a new investor who is new to KRA system and whose KYC is not registered or verified in the KRA system shall be required to provide KYC details in the CKYC Form to the Mutual Fund/ AMC.
b) Individual investor who fills old KRA KYC Form, should provide additional / missing information using Supplementary KYC Form or fill CKYC Form.
c) Details of investors shall be uploaded on the system of CKYCR and a 14 digit unique KYC identifier ('KIN') will be generated for such customer.
d) New investors, who have completed CKYC process & have obtained KIN may quote their KIN in the application form instead of submitting CKYC Form/ Supplementary KYC Form.
e) AMC/ Mutual Fund shall use the KIN of the investor to download the KYC information from CKYCR system and update its records.
f) If the PAN of investor is not updated on CKYCR system, the investor should submit self-certified copy of PAN card to the Mutual Fund/ AMC.

The CKYC Form and Supplementary KYC Form are available at Investor Service Centre (ISC) of Old Bridge Mutual Fund and on website www.oldbridgemf.com.

The AMC reserves the right to reject transaction application in case the investor(s) fails to submit information and/or documentation as mentioned above. In the event of non compliance of KYC requirements, the Trustee / AMC reserves the right to freeze the folio of the investor(s).

9. ULTIMATE BENEFICIAL OWNERS(S)

In accordance with SEBI KYC Master Circular dated October 12, 2023, as amended from time to time and applicable current AMFI guidelines, and other applicable regulations and guidelines, for identification of Beneficial Ownership to be followed by the intermediaries for determination of beneficial owners. A 'Beneficial owner' is defined as a natural person or persons who ultimately own, control or influence a client and/or persons on whose behalf a transaction is being conducted and includes a person who exercises ultimate effective control over a legal person or arrangement. In this regard, all categories of investors (including all new / existing investors / unitholders) (except individuals, companies listed on a stock exchange or majority-owned subsidiary of such companies) are mandatorily required to provide beneficial ownership details for all investments. Failing which, fund reserves the right to reject applications / subscription requests / additional subscription requests (including switches) / restrict further investments or seek additional information from investors who have not provided the requisite information on beneficial ownership. In the event of change in beneficial ownership, investors are requested to immediately update the details with the Fund/Registrar.

10. PERMANENT ACCOUNT NUMBER (PAN)

Each applicant is required to submit self attested PAN Card Copy (Including Guardian in case of Minor and POA holders).However PAN is not mandatory in case of Investors residing in the State of Sikkim, Central & State Government officials and officials appointed by the Courts e.g. Official Liquidator, Court receiver etc. (under the category of Government) subject to Old Bridge AMC confirming the above mentioned status.

PEKRN PAN Exempt Investments

SEBI vide its circular dated 24th July 2012, conveyed that the investments aggregating ₹ 50,000 in a rolling 12 month period or in a financial year i.e. April to March, in all the schemes of Mutual Funds are exempt from the PAN requirement.

Where the aggregate of the Lumpsum Investment (Fresh Purchase & Additional Purchase) and Micro SIP installments by an investor based on the rolling 12 month period/ in a financial year i.e. April to March does not exceed ₹ 50,000/-, it shall be exempt from the requirement of PAN, (hereafter referred to as "Micro Investments").

PAN requirement exemption will be available only to Micro Investments made by the individuals being Indian Citizens (Including NRIs, Joint Holders*, Minor acting through Guardian and Sole proprietory firms not having PAN). Person of Indian Origin, Hindu Undivided Family,(HUF),Qualified Foreign Investor (QFI) and other categories of investors will not be eligible for this exemption. However the eligible investors are required to submit PAN exempt KYC issued by SEBI registered KRA (KYC Registration Authority). * In case of joint holders, first holder must not possess a PAN.

11. APPLICATIONS ON BEHALF OF MINORS

Where the investment is on behalf of a Minor by the Guardian:

a. The Minor shall be the first and sole holder in the account.
b. No Joint holders are allowed. In case an investor provides joint holder details, these shall be ignored.
c. Guardian should be either a natural guardian (i.e. father or mother) or a court appointed legal guardian.
d. Guardian should mention the relationship with Minor and date of birth of the Minor on the application form.
e. A document evidencing the relationship and date of birth of the Minor should be submitted along with the application form. Photo copy of any one of the following documents can be submitted a) Birth certificate of the minor or b) school leaving certificate / mark sheet of Higher Secondary board of respective states, ICSE, CBSE etc. c) Passport of the minor d) Any other suitable proof evidencing the relationship.
f. Where the guardian is not a natural guardian (father or mother) and is a court appointed legal guardian, suitable supporting documentary evidence should be provided.
g. If the mandatory details and/or documents are not provided, the application is liable to be rejected without any information to the applicant.

12. APPLICATIONS UNDER POWER OF ATTORNEY

An applicant wanting to transact through a power of attorney must lodge the photocopy of the Power of Attorney (PoA) attested by a Notary Public or the original PoA (which will be returned after verification) within 30 days of submitting the Purchase Application Form / Transaction Slip at a Designated ISC / Official Point of Acceptance, or along with the application in case of application submitted duly signed by POA holder. Applications are liable to be rejected if the power of attorney is not submitted within the aforesaid period.

13. LUMPSUM AND SYSTEMATIC INVESTMENT PLAN

a. A minimum gap of 21 days and not more than 90 days needs to be maintained between date of Application & SIP start date.
b. Investor shall have the option of choosing any date of the month as the SIP date except the dates 29th, 30th and 31st. If SIP debit date is not mentioned default date would be considered as 10th of every month. If selected 29th, 30th or 31st, default SIP date would be considered as 28th of every month.
c. All SIP installment cheques/payment instructions must be of the same amount and the same monthly debit date (excluding first cheque).
d. The SIP will be discontinued automatically if payment is not received for three successive installments.
e. Investors can discontinue a SIP at any time by sending a written request to any Official Point of Acceptance or to the registrar Kfin. Please note it will take T+2 Business days for the SIP to discontinue from the date of receipt of the duly filled request. Any installment due during this period might get debited from the bank account if it falls within T+2 Business days. The AMC reserves the right to introduce / discontinue SIP / variants of SIP from time to time.

f. In case payment is made using "At Par" cheques, investors must mention the MICR number of his bank branch. Where he hold's the bank account.

g. An Investor will not hold Old Bridge Mutual Fund, its registrars and / or service providers responsible if a transaction is delayed or not effected, or the investor bank account is debited in advance or after the specific SIP date because of the various clearing cycles of RBI's Electronic Clearing Facility (ECS) / Bank holiday. Old Bridge Mutual Fund, its registrars and other service providers shall not be held responsible or liable for damages / compensation / loss incurred by the investor as a result of using the SIP or ECS / Auto debt facility.

h. Please refer below table for minimum installments :

Frequency	Specified date	Min Amounts per Installments		Min number of Installments
		Focused Fund/ Arbitrage Fund	Flexi Cap Fund	
Daily	Daily	2500	1000	6
Weekly	Any day (Monday to Friday) (If no day is selected Monday will be the default day)	2500	1000	6
Fortnightly	1st and 16th day of each month, as applicable (1st and 16th of the month will be the default date).	2500	1000	6
Monthly (Default Frequency)	Any date (1st to 28th of the month) (10th will be the default day)	2500	1000	6
Quarterly	Any date (1st to 28th of the month) (10th will be the default day)	2500	1000	6
Half Yearly	Any date (1st to 28th of the month) (10th will be the default day)	2500	1000	6
Yearly	Any date (1st to 28th of the month) (10th will be the default day)	2500	1000	6

Note: For all schemes, minimum amount is as per above table and thereafter in multiple of ₹ 1.

i. If the period is not specified by the unit holder on the SIP section then the SIP enrollment will be consider from the upcoming month (Gap of 21 days) till not exceeding 40 years.

j. If no amount is mentioned minimum scheme amount would be considered. Please refer KIM & SID of the respective scheme.

k. **Minimum amount for Purchase/Redemptions/Switches**

Lumpsum Minimum : Minimum of ₹ 5,000/- and in multiple of ₹ 1.

During Ongoing Offer Period: Fresh purchase (Incl. Switch-in): Minimum of ₹ 5,000/- and any amount thereafter.

Additional application amount (Incl. Switch-in): Minimum of ₹ 1,000/- and any amount thereafter.

Minimum Amount for Redemption / Switch-outs: 1,000/- and in multiples of ₹ 0.01/- or account balance, whichever is lower.

Change of Debit Bank Details (SIP Auto Debit Form)

a. Investor can change debit bank details mentioned on SIP Auto Debit form by ticking the check box provided on the form and attaching signed cancelled cheque of the new bank along with the mandate.

b. The cheque copy should have the investor's name printed on it.

c. A minimum gap of 21 days is required for incorporation of new bank details.

d. In case of change of debit bank details the investor needs to provide the new bank details on mandate and SIP start date should be in continuation with the SIP cycle and end date will remain the same.

e. Except new debit bank details rest of the details i.e. SIP period, amount etc. will remain same as the original SIP investment.

14. NATIONAL AUTOMATED CLEARING HOUSE (NACH)

NACH is a funds clearing platform set up by NPCI similar to the existing ECS of RBI. NPCI has implemented NACH for Banks, Financial Institutions, Corporates and Government a web based solution to facilitate interbank, high volume, electronic transactions which are repetitive and periodic in nature.

National Payments Corporation of India (NPCI)

NPCI was set up by Indian Banks Association under a mandate from the Reserve Bank of India in 2008. It is the umbrella organization for all retail payment systems. NCPI would provide robust payment solutions to banks and financial institutions across India.

15. NRIs, FIIs

a. Repatriation basis

I. NRIs: Payment may be made either by inward remittance through normal banking channels, or from funds held in a Non-Resident (External) Rupee Account (NRE) / Foreign Currency (Non-Resident) Account (FCNR). In case Indian rupee drafts are purchased abroad or from Foreign Currency Accounts or Non-resident Rupee Accounts, an account debit certificate from the bank issuing the draft confirming the debit will need to be enclosed.

II. FIIs can pay their subscription either by inward remittance through normal banking channels or from funds held in Foreign Currency Account or Non-Resident Rupee Account maintained by the FII with a designated branch of an authorised dealer.

III. Old Bridge Mutual Fund has decided to restrict subscriptions from U.S. Persons (including NRIs and all persons residing in U.S, U.S Corporations or other entities organized under the laws of U.S) and Residents of Canada in the Schemes of Old Bridge Mutual Fund.

b. Non-repatriation basis

In the case of NRIs, payment may be made either by inward remittance through normal banking channels or out of funds held in a NRE / FCNR / Non-Resident Ordinary Rupee Account (NRO). In case Indian rupee drafts are purchased abroad or from Foreign Currency Accounts or Non-resident Rupee Accounts, an account debit certificate from the bank issuing the draft confirming the debit will need to be enclosed.

c. TDS where ever applicable would be rounded off to the Rupee.

16. ELECTRONIC SERVICES

The AMC provides electronic transaction services through its website and over the phone.

a. KFINKART: Transactions through electronic platform(s) of KFin Technologies Limited (effective from January 2, 2017): Investors will be allowed to transact through https://mfs.kfintech.com/investor/, an electronic platform provided by Kfin Technologies Limited, Registrar & Transfer Agent, in Schemes of Old Bridge Mutual Fund ('Fund'). The facility will also be available through mobile application i.e. 'KFINKART'.

b. Online Schedule Transaction Facility ('the OST facility'/ 'the Facility'):

The OST facility shall enable Investors to schedule subscription / redemption / switch transaction(s) on specified date for specified amount/ units by giving online instruction.

The terms and conditions of the OST facility shall be as under:

1 The Facility is available to the existing Investors of open ended schemes of Old Bridge Mutual Fund subject to completion of lock-in, if any.

2. The Facility is available only to Individual (including sole proprietor) Investors for units held in / subscription in physical mode.

3. The Facility for subscription transaction would be available to Investors after completion of OTM Mandate / equivalent mandate registration process.

4. Under the Facility the transaction can be scheduled to be executed on a specified date which shall be within 30 calendar days from the date of the instruction. Such specified date shall be a business day. In case the scheduled transaction date falls on a nonbusiness day, the transaction will be executed on the immediately following business day.

5. The Facility shall be available on online transaction platform(s) viz website of Old Bridge AMC i.e. www.oldbridgemf.com. Old Bridge AMC may extend the Facility to other transaction platforms from time to time, at its discretion.

6. The scheduled transaction may be cancelled by giving suitable instruction atleast one calendar day prior to the scheduled transaction date.

7. The triggered transaction on the scheduled date shall be considered as time stamped and will be executed on the specified date at the applicable NAV of the relevant scheme.

8. The scheduled transaction(s) shall be subjected to exit load, minimum subscription/ additional subscription application and other terms and conditions of the relevant scheme as per SID applicable on the specified date.

9. The scheduled transaction shall be liable to be rejected if sufficient amount is not available for subscription or sufficient number of units / amount is not available for redemption.

10. Redemption transactions will not be executed in case units are pledged or where lien is marked on units, at the time of online instruction / on specified date;

11. Investors availing this facility shall acquaint themselves with the features of the relevant scheme(s), including any modification / amendments carried out before the specified date.

The above is an additionally provided facility to the Investors to plan their transactions in schemes using online platforms.

c. Email facility - Applicants who provide their email address will receive communication by email. In case an investor wishes to receive a hard copy of an account statement or other document, he/she is requested to submit a request at investorsupport.mfs@kfintech.com or call us on Toll Free No. 18003094034 Timings : 9 am to 7 pm (Monday to Saturday).

d. SMS alerts facility - Applicants who wish to receive transaction alerts on their mobile phone need to provide their mobile no.

e. Online investment facility - New or existing investors can invest with us online at www.oldbridgemf.com. To avail of this facility, applicants are requested to provide both their mobile no. and email address in the spaces provided.

f. In case mobile no. & email ID is not provided on the application form then it will be capture as per KYC record.

g. Investors should provide their own email address and mobile number to enable Old Bridge AMC for speed and ease of communication in a convenient and cost-effective manner, and to help prevent fraudulent transactions.

17. NOMINATION

1. Proof of Identity: ANY ONE of the below mentioned identity number to be submitted for nominee as personal identifier of nominee:

a) PAN / Unique Identification Number (UID) (Aadhar) last 4 digit / Driving License Number etc.

b) If nominee is a NRI/OCI/PIO – Passport number is acceptable.

c) Exemptions / clarifications to PAN (Sufficient documentary evidence in support of such claims to be enclosed. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts E.g. Official liquidator, Court receiver etc.

2. In case minor is a nominee then DOB is mandatory. Guardian name is optional.

3. If PAN is provided, each nominee should provide the unique PAN.

4. Residential address, Email address and mobile number of nominee are mandatory fields, without which the nomination form shall not be considered as valid; will be rejected.

5. Relationship of nominee(s) with the investor is mandatory.

6. If any of the above could not be provided, then in the absence of any exception defined, such instances will be deemed on par with No Nominee instance.

7. Date of birth of nominee(s) is optional (except in case where nominee is a minor). If the DoB is declared in the nomination form, the Guardian's PAN can be given. PAN of holder(s) in the folio will not be accepted for nominees.

8. For inclusions or deletion of nominee details in the existing folios, investor must submit a fresh nomination form every time whenever a change is required.

9. The signatories for this nomination form in joint folios / account, shall be the same as that of your joint MF folio / demat account as follows:
   - 'Either or Survivor' Folios /Accounts - any one of the holders can sign
   - 'Jointly' Folios / Accounts - both holders have to sign
10. The AMC shall, subject to production of such evidence which in their opinion is sufficient, proceed to affect the payment / transfer to the Nominee(s) in the event of demise of the unit holder.

Event	Transmission of Account / Folio to
Demise of one or more joint holder(s)	Surviving holder(s) through name deletion The surviving holder(s) shall inherit the assets as owners.
Demise of all joint holders simultaneously – having nominee	Nominee
Demise of all joint holders simultaneously – not having nominee	Legal heir(s) of the youngest holder

11. It is mandatory for the investor to choose either name of nominee or nominee registered. And same will be displayed in Statement of Account (SOA)
12. Pursuant to Regulation 29A of the SEBI Regulations, the AMC provides an option to the Unit holder to nominate (in the manner prescribed under the SEBI Regulations), a person(s) in whom the Units held by him shall vest in the event of his death, Nomination shall be maintained at the folio or account level and shall be applicable for investments in all schemes in the folio or account.
13. In terms of clause 2.8. of SEBI Circular dated January 10, 2025, it is mandatory for folios with mode of holding as single to either provide nomination or opt - out of nomination. In case a joint account / folio becomes single holding, post the demise of holder(s), then either nomination or "opt-out", is mandatory. An investor having single holding / account / folio can opt-out of nomination, either online or through physical / offline mode.
14. Further, all individual unit holder(s) (with singly or jointly held folios) are encouraged in their own interest to provide nomination/opt out of nomination, as applicable, for ensuring smooth transmission of units held by them.
15. Nomination can be made only by individuals on their own behalf, either singly or jointly. Non- individuals including society, trust, body corporate, partnership firm, Karta of Hindu Undivided Family, holder of Power of Attorney cannot nominate.
16. The facility to nominate will not be available in a folio held on behalf of a minor. Nomination form cannot be signed by Power of Attorney (PoA) holders.
17. Only the following categories of Indian Residents can be nominated: (a) individuals (b) minors through parent/legal guardian and in that event, the name and address of the guardian of the minor nominee shall be provided by the Unit holder (c) religious and charitable trusts and (d) Central Government, State Government, a local authority or any person designated by virtue of his office.
18. The Nominee shall not be a trust other than a religious or charitable trust, society, body corporate, partnership firm, Karta of Hindu Undivided Family or a Power of Attorney holder.
19. A non-resident Indian can be a Nominee subject to the exchange controls in force from time to time.
20. Upon demise of one of the nominees prior to the demise of the investor and if no change is made in the nomination, then the assets shall be distributed to the surviving nominees on pro rata basis upon demise of the investor.
21. Where the Units are held by more than one person jointly, the joint Unit holders may together nominate a person(s) in whom all the rights in the Units shall vest in the event of death of all the joint Unit holders.
22. The requirement of nomination shall be optional for jointly held Mutual Fund folios.
23. The surviving member(s) shall receive the assets as owner(s) and not as a trustee.
24. Surviving joint holders shall be entitled to continue with or change or cancel the nominations made previously. The AMC shall provide the option to surviving joint holder(s) to transit the assets held, into another existing or new account / folio.
25. In absence of nomination, the AMC shall transmit the assets in the account / folio to either;
    - the legal heir(s) or legal representative(s) holders as per the rules of intestate succession or
    - as per the Will of the latter, as the case may be, after following the applicable guidelines / procedure.
26. A nomination in respect of the Units does not create an interest in the property after the death of the Unit holder. The nominee(s) shall receive the Units only as an agent and trustee for the legal heirs or legatees as the case may be. The nominee(s) shall receive the assets of deceased sole account / sole holder as trustee on behalf of legal heir(s) of deceased holder(s) thereby effecting due discharge of the AMC. Legal heir(s) of nominee shall not be eligible to inherit the assets of the investor, if the nominee predeceases the investor.
27. In case of multiple nominees, the percentage of allocation / share in favour of each of the nominees should be indicated against their name and such allocation / share should be in whole numbers without any decimals making a total of 100 percent. In the event of Unit holders not indicating the percentage of allocation / share for each of the nominees, the Mutual Fund /the AMC, shall apportion the assets equally among all the nominees. In case of demise of the investor and any one of the nominees, the AMC shall distribute the assets pro rata to the remaining nominees
28. Nomination in respect of the Units stands rescinded upon the Redemption of Units.
29. The nomination facility extended under the Scheme is subject to existing laws. The AMC shall, subject to production of such evidence which in their opinion is sufficient, proceed to effect the payment/transfer to the Nominee(s).
30. Transfer of Units / payment to the nominee(s) of the sums shall discharge the Fund / AMC of all liability towards the estate of the deceased Unit holder and his/her/their successors/legal heirs. The Fund, the AMC and the Trustee are entitled to be indemnified from the deceased Unit Holder's estate against any liabilities whatsoever that any of them may suffer or incur in connection with a nomination.
31. Every new nomination in a folio will overwrite the existing nomination.
32. In case of investors subscribing to mutual fund units on or after October 01, 2022, the guidelines issued by SEBI on May 29, 2026 for SEBI nomination framework shall be applicable.
33. As mandated under SEBI circular on Ease of doing business, "Non-submission of 'choice of nomination' shall not result in freezing of Demat Accounts as well as Mutual Fund Folios."

18. DEMAT ACCOUNT DETAILS

If you wish to invest in the scheme through Demat you need to have a beneficiary account with a Depository Participant (DP) of the NSDL/CDSL and specify the same in this Application Form.You must ensure that the sequence of names with other details like address, PAN, etc mentioned under Demat details should match with DP records. Only those applications where the details are matched with the depository data, will be treated as valid application. If the details mentioned in the application are incomplete /incorrect, or does not match with the depository data, the applicant shall be treated as invalid and shall be liable to be rejected and would be allotted in Physical form. Demat option will be applicable for the applications along with SIP option.

Please attach Client Master List along with application form.

19. Non-profit organization means any entity or organization, constituted for religious or charitable purposes referred to in clause (15) of section 2 of the Income-tax Act, 1961 (43 of 1961), that is registered as a trust or a society under the Societies Registration Act, 186M0 (21 of 1860) or any similar State legislation or a Company registered under the section 8 of the Companies Act, 2013 (18 of 2013).

20. FOREIGN ACCOUNT TAX COMPLIANCE (FATCA)

FATCA & CRS TERMS & CONDITIONS : Details under FATCA & CRS: The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income- tax Rules, 1962, which Rules require Indian financial institutions such as Old Bridge MF to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our investors and counter parties. In relevant cases, information will have to be reported to tax authorities / appointed agencies.

Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto.

The onus to provide accurate, adequate and timely inputs in this regard would be that of the investor or counterparty. Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.

Please note that Old Bridge MF will be unable to provide advice to you about any tax status or FATCA/CRS classification relevant to your account. It is your responsibility to ensure that you record your correct tax status / FATCA/ CRS classification. You may seek advice from your tax advisor in this regard.

Please note that you may receive more than one request for information if you have multiple relationships with Old Bridge MF or its group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.

FATCA & CRS INSTRUCTIONS: If you have any questions about your tax residency, please contact your tax advisor. If you are a US citizen or resident or green card holder, please include United States in the foreign country information field along with your US Tax Identification Number. It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

Investors are requested to provide all the necessary information / declarations to facilitate compliance, considering India's commitment to implement FATCA and CRS under the relevant international treaties.

Please consult your professional tax advisor for further guidance on your tax residency, if required.

INSTRUCTIONS FOR COMPLETING THE APPLICATION FORM (CONTD....)

(Please read the SID carefully before signing the application form and tendering payment.)

FATCA & CRS Indicia observed (ticked)	**Documentation required for Cure of FATCA/ CRS Indicia**
U.S. place of birth	1. Self-certification that the account holder is neither a citizen of United States of America nor a resident for tax purposes; 2. Non-US passport or any non-US government issued document evidencing nationality or citizenship (refer list below);AND 3. Any one of the following documents: Certified Copy of "Certificate of Loss of Nationality **or** Reasonable explanation of why the customer does not have such a certificate despite renouncing US citizenship; **or** Reason the customer did not obtain U.S. citizenship at birth
Residence/mailing address in a country other than India	1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; **and** 2. Documentary evidence (refer list below)
Telephone number in a country other than India	**If no Indian telephone number is provided** 1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; **and** 2. Documentary evidence (refer list below) **If Indian telephone number is provided along with a foreign country telephone number** 1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident for tax purposes of any country other than India; **OR** 2. Documentary evidence (refer list below)
Telephone number in a country other than India	1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; **and** 2. Documentary evidence (refer list below)

21. Submission of Aadhar Number

Pursuant to requirement under Prevention of Money Laundering (Maintenance of Records) Rules, 2005 as amended from time to time, proof of possession of Aadhar can be accepted as a valid document for proof of address or proof of identity of investors, provided the investor redact or blackout his Aadhar number while submitting the applications for investments.

The aforesaid guidelines will be subject to change as per the directives issued by the concerned regulatory/ government authority from time to time.

For further details refer to SAI.

22. E-mail Communication

Investors should ensure that the email id provided is that of First /Sole holder or of their Family member. Family means spouse, dependent children or dependent parents. This email address and mobile no. provided shall be registered in the folio for all communications. In case, this section is left blank, the email id and mobile no. of the First / Sole Holder available in the KYC records shall be registered in the folio.

23 Declaration for Creating New Folio

If Name of the holders, Pan Proof, Bank Mandate, Address, Mode of holding & Nominee are in the same order the transaction will process under existing folio.

24. Legal Entity Identifier no updation

RBI vide circular dated January 2021 on "Introduction of Legal Entity Identifier for Large Value Transactions in Centralized Payment Systems" decided to introduce the LEI system for all payment transactions of value INR 50 crore and above for Real Time Gross Settlement (RTGS) and National Electronic Funds Transfer (NEFT) from April 1, 2021. In view of the same it will be mandatory to include 20-digit Legal Entity Identifier (LEI) information while initiating any transaction of value INR 50 crore and above by entities (non-Individual) for purchase and redemption transaction.

25. Instructions for SIP & TOP-UP

1. Investors are required to submit Form along with a photo copy/cancelled cheque of Debit Bank Account at least 21 days before the first SIP Installment date.
2. Please refer below table for minimum installments:

Frequency	Specified date	Min Amounts per Installments		Min number of Installments
		Focused Fund/ Arbitrage Fund	Flexi Cap Fund	
Daily	Daily	2500	1000	6
Weekly	Any day (Monday to Friday) (If no day is selected Monday will be the default day)	2500	1000	6
Fortnightly	1st and 16th day of each month, as applicable (1st and 16th of the month will be the default date).	2500	1000	6
Monthly (Default Frequency)	Any date (1st to 28th of the month) (10th will be the default day)	2500	1000	6
Quarterly	Any date (1st to 28th of the month) (10th will be the default day)	2500	1000	6
Half Yearly	Any date (1st to 28th of the month) (10th will be the default day)	2500	1000	6
Yearly	Any date (1st to 28th of the month) (10th will be the default day)	2500	1000	6

Note: For all schemes, minimum amount is as per above table and thereafter in multiple of ₹ 1.

3. *Investor shall have the option of choosing any date of the month as the SIP Date except the dates 29th, 30th and 31st. If SIP date is not mentioned, default date would be considered as 10th of every month. If the SIP date falls on a non-business day or a bank holiday, the SIP debit will be processed on the following business day. ** Will be triggered and processed only on Business Days. If the day opted falls on non-business day, it will be triggered and processed on the next business day.
4. If no amount is mentioned minimum SIP installment amount would be considered.
5. For details about the Scheme and its facility please refer the SID, SAI & KIM of the respective schemes / Addendum issued from time to time carefully before investing.
6. The SIP will be discontinued automatically if payment is not received for three successive installments.
7. Investors can discontinue a SIP at any time by sending a written request to any Official Point of Acceptance or to the registrar Kfin. Please note it will take T+2 Business days for the SIP to discontinue from the date of receipt of the duly filled request. Any installment due during this period might get debited from the bank account if it falls within T+2 Business days. The AMC reserves the right to introduce / discontinue SIP / variants of SIP from time to time.
8. Mandate will be processed through NACH platform offered by NPCI.
9. Investor will not hold Old Bridge Mutual Fund, its registrars and other service providers responsible if the transaction is delayed or not effected or the investor bank account is debited in advance or after the specific SIP date due to various clearing cycles of NACH Debit/ Local/Bank holiday. Old Bridge Mutual Fund, its registrars and other service providers shall not be held responsible or liable for damages / compensation / loss incurred by the investor as a result of using the SIP or ECS / Auto debt facility. The investor assumes the entire risk of using this facility and takes full responsibility.
10. Investor can change bank details for SIP by submitting a "CHANGE OF BANK MANDATE - FOR SIP" form available on the website or at any Investor Service Centre along with cancelled cheque of the new bank with the investor's name printed on it.
11. TOP-UP Facility: Under this facility the Investor can increase the SIP installment at pre-defined intervals by a fixed amount or any time as per the request. This facility is available for individual investors only. For availing the said facilities, investors are required to note the following:
    - Investor willing to register TOP-UP should provide the TOP-UP details along with the SIP enrolment details.
    - The minimum amount for Old Bridge TOP-UP facility is ₹ 500/- and in multiples of ₹ 1/- for all schemes.
    - If no amount is mentioned as TOP-UP amount under frequency yearly and half-yearly, minimum TOP-UP amount would be considered, i.e., ₹ 500/- for all schemes.
    - TOP-UP frequencies available are Half-Yearly/ Yearly requested intervals.
    - In case TOP-UP frequency is not indicated, it will be considered as Yearly by Default.
    - The date for Old Bridge Mutual Fund TOP-UP Facility will correspond to the registered SIP.
    - TOP-UP will continue till the End of the SIP tenure by default.
    - In case an investor wishes to change the Top-Up amount, he/she has to provide a cancellation for the existing SIP and register fresh SIP.
    - Only TOP-UP cannot be discontinued anywhere during the SIP tenure.
    - Please see the illustration below to know how to calculate SIP Top-Up amount:
        - SIP Starts on 20/May/2025
        - SIP ends on 20/May/2065
        - SIP amount is ₹ 2500
        - Top-Up amount is ₹ 500
        - Top-Up Frequency is Half-yearly

Top-Up date	SIP Amount (₹)	Top-Up Amount (₹)	New SIP Amount (₹)
10-Nov-2025	2500	500	3000
10-May-2026	3000	500	3500
10-Nov-2027	3500	500	4000
10-May-2028	4000	500	4500

12. If Investor do not wish to opt for One Time Registration (OTM) Mandate, they can submit SIP NACH Registration Form available on website www.oldbridgemf.com with SIP Registration Form.

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OLD BRIDGE ASSET MANAGEMENT

COMMON TRANSACTION SLIP (for existing investors only)

Folio No. | | | | | | | | | | | | | | Date D D M M Y Y

Distributor ARN	Sub-Distributor ARN	Sol ID / Internal Sub-Broker	Employee Code	EUIN	RIA CODE^	Serial No., Date & Time Stamp

Upfront commission shall be paid directly by the investor to the AMFI registered distributor based on the investor's assessment of various factors including the service rendered by the distributor.

^I/We, have invested in the scheme(s) of Old Bridge Mutual Fund under Direct Plan. I/We hereby give my/our consent to share/provide the transactions data feed/ portfolio holdings/ NAV etc. in respect of my/our investments under Direct Plan of all schemes of Old Bridge Mutual Fund, to the above mentioned SEBI Registered Investment Adviser.

☐ I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

1st Holder | 2nd Holder | 3rd Holder

First / Sole Applicant

LEI Code | Valid up to D D M M Y Y Y Y

Note: Legal Entity Identifier Number is Mandatory for Transaction value of INR 50 crore and above for Non-Individual investors.

RBI vide circular dated January 2021 on "Introduction of Legal Entity Identifier for Large Value Transactions in Centralized Payment Systems" decided to introduce the LEI system for all payment transactions of value INR 50 crore and above for Real Time Gross Settlement (RTGS) and National Electronic Funds Transfer (NEFT) from April 1, 2021. In view of the same it will be mandatory to include 20-digit Legal Entity Identifier (LEI) information while initiating any transaction of value INR 50 crore and above by entities (non-Individual) for purchase and redemption transaction.

I/We would like to apply for ☐ **ADDITIONAL PURCHASE** (fill section-A) ☐ **REDEMPTION** (fill section-B) ☐ **SWITCH** (fill section-C)

A ADDITIONAL PURCHASE

Scheme	Plan	Option	Amount
Total	In words		In figures

Payment Options ☐ Cheque / DD ☐ RTGS / NEFT ☐ Transfer ☐ Debit Mandate (Fill section E) ☐ One Time Mandate

Bank Name | Instrument No. UTR No (in case of RTGS / NEFT) / OTM ref no. in case of One time Mandate

₹ (in figures) | ₹ (in words)

DEMAT ACCOUNT DETAILS OF FIRST / SOLE APPLICANT ☐ NSDL ☐ CDSL

Depository Participant Name

Beneficiary Account Number

Depository Participant (DP) ID

Note: In case there is any change in your KYC information please update the same by using the prescribed 'KYC Change Request Form' and submit the same at the Point of Service of any KYC Registration Agency.

B REDEMPTION

☐ All units **OR** ☐ No. of Units

OR ₹ (in figures) | ₹ (in words)

Scheme | Plan | Option

Please Note: if the balance in your folio is less than this redemption request, all units or entire balance shall be redeemed.

*Bank account No.: | Bank Name:

(*Please specify the bank details in which you wish to receive the redemption proceeds. Kindly note that this bank account should be one of the registered bank account in the folio else by default the redemption proceeds will be credited into the default bank account. Also this can not be treated as change of bank mandate.)

C SWITCH

☐ All units **OR** ☐ No. of Units

OR ₹ (in figures) | ₹ (in words)

From Scheme | Plan | Option

To Scheme | Plan | Option

D SIGNATURE

I/ We have read and understood the contents of the SID / SAI of the Scheme(s). I/ We have not received nor have been induced by any rebate or gifts, directly of indirectly in making this investment. The money invested in the schemes is through legitimate sources and is not in contravention of any prevailing laws. Upfront commission shall be paid directly by the investor to the AMFI registered distributor based on the investors' assessment of various factors including the service rendered by the distributor.

1st Holder | 2nd Holder | 3rd Holder

E DEBIT MANDATE

I/ We Name of the account holder(s) Date D D M M Y Y Y Y

authorise you to debit my/our account no.

Account type ☐ Savings ☐ NRO ☐ NRE ☐ Current ☐ FCNR ☐ Others Specify

to pay for the purchase of Scheme Name

₹ (in figures)

₹ (in words)

Signature of 1st Holder

Signature of 2nd Holder

Signature of 3rd Holder

In case of multiple investments, please mention scheme name as "Old Bridge MF Multiple Schemes".

ACKNOWLEDGMENT SLIP

OLD BRIDGE ASSET MANAGEMENT

Folio No. | | | | | | | | | | | | | | Received a request for ☐ **Additional Purchase** ☐ **Redemption** ☐ **Switch**

Name | Date D D M M Y Y

Stamp & Signature

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OLD BRIDGE ASSET MANAGEMENT

Old Bridge Asset Management Private Limited

Registered Office: 1705, One BKC, C Wing, G Block, Bandra Kurla Complex, Bandra (East), Mumbai - 400051.

SIP REGISTRATION FORM

First time investors, submit this form along with Common Application Form

FOR FIRST TIME INVESTORS FOR LUMPSUM INVESTMENTS / SIP INVESTMENTS.
PLEASE READ THE KEY INFORMATION MEMORANDUM, INSTRUCTIONS AND PRODUCT LABELLING BEFORE FILING OF THIS FORM.
ALL SECTIONS TO BE COMPLETED IN ENGLISH IN BLOCK LETTERS)

Distributor ARN	SUB-Distributor ARN	Internal SUB-Broker/Sol ID	EUIN	RIA CODE^
			E -	

Employee Code	PMR (Portfolio Manager's Registration) Number^^	Serial No., Date & Time Stamp

Upfront commission, if any, shall be paid directly by the investor to the AMFI registered distributors based on the investors asessment of various factors, including the service rendered by the distributor. ^I/We, have invested in the scheme(s) of Old Bridge Mutual Fund under Direct Plan. I/We hereby give my/our consent to share/provide the transactions data feed/portfolio holdings/ NAV etc. in respect of my/our investments under Direct Plan of all schemes of Old Bridge Mutual Fund, to the above mentioned SEBI Registered Investment Adviser. ^^I/We, have invested in the scheme(s) of Old Bridge Mutual Fund under Direct Plan. I/We hereby give my/our consent to share/provide the transactions data feed/portfolio holdings/ NAV etc. in respect of my/our investments under Direct Plan of all schemes of Old Bridge Mutual Fund, to the above mentioned SEBI Registered Portfolio Manager.

☐ I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/ relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

1st Holder / Guardian	2nd Holder	3rd Holder	Power of Attorney Holder

YOUR INFORMATION (MANDATORY)

EXISTING INVESTOR'S FOLIO NUMBER
(If you have an existing folio with KYC validated, please mention here)
Folio number

Your Name (as in PAN Card / KYC records)

Name of the Guardian (In case of Minor) (In case First / Sole Applicant is minor) / Contact Person - Designation / PoA HOLDER (In case of Non-individual Investors)

1st Holder PAN | 2nd Holder PAN | 3rd Holder PAN

To register Old Bridge One Time Mandate, please fill and submit the One Time Mandate form separately.

OLD BRIDGE ASSET MANAGEMENT

UMRN Bank use | Date D D M M Y Y Y Y

Tick (✓)
CREATE ✓
MODIFY ☒
CANCEL ☒

Sponsor Bank Code Bank use | Utility Code Bank use

I/We hereby authorize **Old Bridge Mutual Fund** to debit (tick✓) ☐ SB ☐ CA ☐ CC ☐ SB-NRE ☐ SB-NRO ☐ Other

Bank a/c number

with Bank Name of customers bank | IFSC | or MICR

an amount of Rupees In Words ₹ In Figures

Frequency ☒ Daily ☒ Weekly ☒ BI-Monthly ☒ Monthly ☒ Quarterly ☒ Half-Yearly ☒ Annually ✓ As & when presented | Debit Type ☒ Fixed Amount ✓ Max Amount

Reference 1 PAN No. | Phone No.

Reference 2 | Email ID

1. I agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my account as per the latest schedule of the charges of the bank. 2. This is to confirm that the declaration has been carefully read, understood & made by me/us. I am authorizing the user entity/corporate to debit the account based on the instructions as agreed and signed by me. 3. I have understood that I am authorized to cancel/amend this mandate by appropriately communicating the cancellation/ amendment request to the user entity/corporate or the bank where I have authorized the debit. 4. We understand and accept that in case transactions initiated against this mandate (within valid period of mandate) is/are rejected due to insufficient funds or such other reason as permitted under applicable law. action may be taken against me/us under applicable law. (including Negotiable Instruments Act)

PERIOD

From D D M M Y Y Y Y

To D D M M Y Y Y Y

Maximum period of validity of this mandate is 40 years only.

Signature Primary Account holder | Signature of Account holder | Signature of Account holder

1. Name as in bank records | 2. Name as in bank records | 3. Name as in bank records

MANDATORY FIELDS : • Instrument Date • Bank name • IFSC code or MICR code (as per the cheque / pass book) • Account type • Bank A/c number (core banking a/c no only) • Amount (in words & in figures) • Account holder signature • Account holder name as per bank records • Period start date and end date

ACKNOWLEDGMENT

OLD BRIDGE ASSET MANAGEMENT

Investor Name

SIP Scheme

Top-up ☐ Yes ☐ No

Stamp & Signature

SIP DETAILS

SIP Registration Mode ☐ Mandate along with SIP form

Full Scheme/Plan/Option	Amount/Each SIP Amount	Frequency	SIP Period	TOP-UP Facility (Optional) Only available for Monthly SIP	
Scheme Name	₹	☐ Daily	Start Date M M Y Y Y Y	Frequency	Amount
Plan ☐ Regular ☐ Direct	Less DD charges	☐ Weekly*	End Date M M Y Y Y Y	☐ Half Yearly	₹ in figures
Option ☐ Growth ☐ IDCW ☐ Payout ☐ Reinvestment	**SIP Date** D D (if left blank 10th will be considered as the default date for Monthly/ Quarterly/ HalfYearly and Yearly.	☐ Fortnightly^	**OR** Mandate can be registered upto 40 years only.	☐ Yearly	in words
		☐ Monthly (default)			
		☐ Quarterly			
		☐ Half Yearly			
		☐ Yearly			

Default Option : Growth Option in case Growth Option or Income Distribution cum Capital Withdrawal (IDCW) Option is not indicated. Payout Option / facility in case Payout of IDCW Option / facility or Reinvestment of IDCW Option / facility is not indicated. *Weekly - Any day (Monday to Friday) (If no day is selected Monday will be the default). ^Fortnightly - 1st and 16th day of the month, will be the default date as applicable. The Minimum amount for TOP-UP facility is ₹ 500/- and in multiples of ₹ 1/-.

SIP initial payment details (Optional)

Drawn on bank / branch name		Amount	
Mode	☐ Cheque No.	Dated	D D M M Y Y Y Y

Declaration and Signature (to be signed by all unit holders if mode of holding is 'joint')

I / We declare that the particulars furnished here are correct. I / We authorize Old Bridge Mutual Fund acting through its service providers to debit my / our bank account towards payment of SIP installments and/ or any lumpsum payments through an Electronic Debit arrangement / NACH (National Automated Clearing House) as per my request from time to time. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold the user institution responsible. I/We will also inform Old Bridge Mutual Fund about any changes in my bank account. I/We hereby authorize to honour such payments and have signed and endorsed the Mandate Form. Further, I authorize my representative (the bearer of this request) to get the above Mandate verified. Mandate verification charges, if any, may be charged to my/our account.

1st Holder / Guardian | 2nd Holder | 3rd Holder

INSTRUCTIONS FOR SIP & TOP-UP

1. OTM end date cannot be more than 40 years form the date of the mandate.
2. Investors are required to submit Form along with a photo copy/cancelled cheque of Debit Bank Account at least 21 days before the first SIP Installment date.
3. Please refer below table for minimum installments:

Frequency	Specified date	Min Amounts per Installments		Min number of Installments
		Focused Fund/ Arbitrage Fund	Flexi Cap Fund	
Daily	Daily	2500	1000	6
Weekly	Any day (Monday to Friday) (If no day is selected Monday will be the default day)	2500	1000	6
Fortnightly	1st and 16th day of each month, as applicable (1st and 16th of the month will be the default date).	2500	1000	6
Monthly (Default Frequency)	Any date (1st to 28th of the month) (10th will be the default day)	2500	1000	6
Quarterly	Any date (1st to 28th of the month) (10th will be the default day)	2500	1000	6
Half Yearly	Any date (1st to 28th of the month) (10th will be the default day)	2500	1000	6
Yearly	Any date (1st to 28th of the month) (10th will be the default day)	2500	1000	6

Note: For all schemes, minimum amount is as per above table and thereafter in multiple of ₹ 1.

4. *Investor shall have the option of choosing any date of the month as the SIP date except the dates 29th, 30th and 31st. If SIP date is not mentioned, default date would be considered as 10th of every month. If the SIP date falls on a non-business day or a bank holiday, the SIP debit will be processed on the following business day. ** Will be triggered and processed only on Business Days. If the day opted falls on non-business day, it will be triggered and processed on the next business day.
5. If no amount is mentioned minimum SIP installment amount would be considered.
6. For details about the Scheme and its facility please refer the SID, SAI & KIM of the respective schemes / Addendum issued from time to time carefully before investing.
7. The SIP will be discontinued automatically if payment is not received for three successive installments.
8. Investors can discontinue a SIP at any time by sending a written request to any Official Point of Acceptance or to the registrar Kfin. Please note it will take T+2 Business days for the SIP to discontinue from the date of receipt of the duly filled request. Any installment due during this period might get debited from the bank account if it falls within T+2 Business days. The AMC reserves the right to introduce / discontinue SIP / variants of SIP from time to time.
9. Mandate will be processed through NACH platform offered by NPCI.
10. Investor will not hold Old Bridge Mutual Fund, its registrars and other service providers responsible if the transaction is delayed or not effected or the investor bank account is debited in advance or after the specific SIP date due to various clearing cycles of NACH Debit/ Local/Bank holiday. Old Bridge Mutual Fund, its registrars and other service providers shall not be held responsible or liable for damages / compensation / loss incurred by the investor as a result of using the SIP or ECS / Auto debt facility. The investor assumes the entire risk of using this facility and takes full responsibility.
11. Investor can change bank details for SIP by submitting a "CHANGE OF BANK MANDATE - FOR SIP" form available on the website or at any Investor Service Centre along with cancelled cheque of the new bank with the investor's name printed on it.
12. TOP-UP Facility: Under this facility the Investor can increase the SIP installment at pre-defined intervals by a fixed amount or any time as per the request. This facility is available for individual investors only. For availing the said facilities, investors are required to note the following:
    - Investor willing to register TOP-UP should provide the TOP-UP details along with the SIP enrolment details.
    - The minimum amount for Old Bridge TOP-UP facility is ₹ 500/- and in multiples of ₹ 1/- for all schemes.
    - If no amount is mentioned as TOP-UP amount under frequency yearly and half-yearly, minimum TOP-UP amount would be considered, i.e., ₹ 500/- for all schemes.
    - TOP-UP frequencies available are Half-Yearly/ Yearly requested intervals.
    - In case TOP-UP frequency is not indicated, it will be considered as Yearly by Default.
    - The date for Old Bridge Mutual Fund TOP-UP Facility will correspond to the registered SIP.
    - TOP-UP will continue till the End of the SIP tenure by default.
    - In case an investor wishes to change the Top-Up amount, he/she has to provide a cancellation for the existing SIP and register fresh SIP.
    - Only TOP-UP cannot be discontinued anywhere during the SIP tenure.
    - Please see the illustration below to know how to calculate SIP Top-Up amount:
        - SIP Starts on 20/May/2025
        - SIP ends on 20/May/2065
        - SIP amount is ₹ 2500
        - Top-Up amount is ₹ 500
        - Top-Up Frequency is Half-yearly

Top-Up date	SIP Amount (₹)	Top-Up Amount (₹)	New SIP Amount (₹)
10-Nov-2025	2500	500	3000
10-May-2026	3000	500	3500
10-Nov-2027	3500	500	4000
10-May-2028	4000	500	4500

13. If Investor do not wish to opt for One Time Registration (OTM) Mandate, they can submit SIP NACH Registration Form available on website www.oldbridgemf.com with SIP Registration Form.

OLD BRIDGE ASSET MANAGEMENT

Old Bridge Asset Management Private Limited
Registered Office: 1705, One BKC, C Wing, G Block, Bandra Kurla Complex, Bandra (East), Mumbai - 400051.

SYSTEMATIC TRANSFER PLAN (STP)

Distributor ARN	SUB-Distributor ARN	Internal SUB-Broker/Sol ID	EUIN	RIA CODE^
			E -	

Employee Code	PMR (Portfolio Manager's Registration) Number^^	Serial No., Date & Time Stamp

Upfront commission shall be paid directly by the investor to the AMFI registered distributor based on the investor's assessment of various factors including the service rendered by the distributor. ^I/We, have invested in the scheme(s) of Old Bridge Mutual Fund under Direct Plan. I/We hereby give my/our consent to share/provide the transactions data feed/portfolio holdings/ NAV etc. in respect of my/our investments under Direct Plan of all schemes of Old Bridge Mutual Fund, to the above mentioned SEBI Registered Investment Adviser. ^^I/We, have invested in the scheme(s) of Old Bridge Mutual Fund under Direct Plan. I/We hereby give my/our consent to share/provide the transactions data feed/portfolio holdings/ NAV etc. in respect of my/our investments under Direct Plan of all schemes of Old Bridge Mutual Fund, to the above mentioned SEBI Registered Portfolio Manager.

☐ I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/ relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

1st Holder / Guardian	2nd Holder	3rd Holder	Power of Attorney Holder

1 APPLICANT INFORMATION

Folio No.

Sole / 1st Unitholder (as in PAN Card / KYC records)

Guardian's Name (as case of minor) First Name Middle Name Last Name

1st Holder PAN 1st Applicant

2nd Holder PAN 2nd Applicant

3rd Holder PAN 3rd Applicant

2 SYSTEMATIC TRANSFER PLAN (STP) (To be submitted at least 7 working days before the 1st due date for transfer).

From Scheme* Plan ☐ Direct ☐ Regular

Option (tick ✓) ☐ Growth ☐ IDCW Reinvestment ☐ IDCW Payout

To Scheme Plan ☐ Direct ☐ Regular

Option (tick ✓) ☐ Growth ☐ IDCW Reinvestment ☐ IDCW Payout

☐ Systematic Transfer Plan (STP)

Transfer Frequency (Please tick (✓) any one of the below frequencies)

- ☐ Daily :
- ☐ Weekly* : (Monday To Friday) Day of transfer _____
- ☐ Fortnightly** : (1st and 16th of the month)
- ☐ Monthly$ *(Default)* D D Date of choice except 29, 30, 31 (10th Default)
- ☐ Quarterly$ D D Date of choice except 29, 30, 31 (10th Default)

Transfer Instalment ₹

No. of Instalments

OR

Transfer Period From D D M M Y Y (First Instalment) D D M M Y Y (Last Instalment)

Default Option : Growth Option in case Growth Option or Income Distribution cum Capital Withdrawal (IDCW) Option is not indicated. Payout Option / facility in case Payout of IDCW Option / facility or Reinvestment of IDCW Option / facility is not indicated. *Weekly - Any day (Monday to Friday) (If no day is selected Monday will be the default). **Fortnightly - 1st and 16th day of the month, will be the default date as applicable. $Monthly & Quarterly - Any date (1st to 28th of the month except 29,30,31) (10th will be the default day).

Having read and understood the contents of the Scheme Information Document of the Scheme(s), I / we hereby apply for units of the Scheme(s) and agree to abide by the terms, conditions, rules and regulations governing the Scheme(s). I/ We hereby declare that the amount invested in the Scheme(s) is through legitimate sources only and does not involve and is not designed for the purpose of the contravention of any Act, Rules, Regulations, Notifications or Directions of the provisions of the Income Tax Act, Anti Money Laundering Laws, Anti Corruption Laws or any other applicable laws enacted by the Government of India from time to time. I / We have understood the details of the Scheme(s) & I / we have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment. I / We confirm that the funds invested in the Scheme(s), legally belong to me / us. In the event "Know Your Customer" process is not completed by me / us to the satisfaction of the Mutual Fund, I / we hereby authorise the Mutual Fund, to redeem the funds invested in the Scheme(s), in favour of the applicant, at the applicable NAV prevailing on the date of such redemption and undertake such other action with such funds that may be required by the Law.

The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

For NRIs only: I / We confirm that I am / we are Non Residents of Indian nationality / origin and that I / we have remitted funds from abroad through approved banking channels or from funds in my / our Non-Resident External / Non-Resident Ordinary / FCNR account.

I / We confirm that details provided by me / us are true and correct.

1st Holder / Guardian	2nd Holder	3rd Holder	Power of Attorney Holder

ACKNOWLEDGMENT

OLD BRIDGE ASSET MANAGEMENT

Folio No. Investor Name

From Scheme To Scheme

Amount Frequency

Stamp & Signature

STP Enrolment Form - Instruction

1. The STP Enrolment Form should be completed in English and in Block Letters only. Please tick in the appropriate box, where boxes have been provided. The STP Enrolment Form complete in all respects, should be submitted at any of the Official Points of Acceptance of Old Bridge Mutual Fund.

2. One STP Enrolment Form can be filled for one Scheme/Plan/Option only.

3. Investors are advised to read the Key Information Memorandum(s) (KIMs) and Scheme Information Document(s) (SIDs) of the Transferee Scheme(s) and Statement of Additional Information (SAI) carefully before investing. The SIDs / KIMs of the respective Scheme(s) and SAI are available with the ISCs of Old Bridge Mutual Fund, brokers/distributors and also displayed at the Old Bridge Mutual Fund website i.e. www.oldbridgemf.com

4. Unit holders should note that unit holders' details and mode of holding (single, joint, anyone or survivor) in the Transferee Scheme will be as per the existing folio number of the Transferor Scheme, Units will be allotted under the same folio number. Unit holders' names should match with the details in the existing folio number, failing which; the application is liable to be rejected.

5. Systematic Transfer Plan (STP) offers transfer facility at Daily, Weekly, Fortnightly, Monthly and Quarterly intervals.

6. The provision of 'Minimum Redemption Amount' as specified in the Scheme Information Document(s) of the respective designated Transferor Schemes and 'Minimum Application Amount' specified in the Scheme Information Document(s) of the respective designated Transferee Schemes will not be applicable for STP.

7. Unit holders are required to fill in either the number of instalments or the enrolment period in the enrolment Form, failing which the Form is liable to be rejected.

8. In case Day of Transfer has not been indicated under STP- Weekly frequency, Monday shall be treated as Default day.

9. In case, the Enrolment Period has been filled, but the STP Date and/or Frequency (Monthly/Quarterly) has not been indicated, Monthly frequency shall be treated as Default frequency and 10th shall be treated as Default Date.

10. The application for STP enrolment - Monthly & Quarterly Interval should be submitted at least 7 working days and not more than 90 days before the desired commencement date.

11. Please refer below table for min. no. of installments and minimum amount per installment:

STP Frequency	Cycle Date	Min Amount (in ₹)	Min Installment
Daily	Daily	1000	6
Weekly	Any day (Monday to Friday) (If no day is selected Monday will be the default day)	1000	6
Fortnightly	(1st and 16th of the month will be the default date).	1000	6
Monthly	Any date (1st to 28th of the month except 29,30,31) (10th will be the default day)	1000	6
Quarterly	Any date (1st to 28th of the month except 29,30,31) (10th will be the default day)	3000	2

12. In respect of STP, the Load Structure prevalent at the time of enrolment shall govern the investors during the tenure of the STP.

13. A minimum period of 7 working days shall be required for registration under STP. Units will be allotted/redeemed at the applicable NAV of the respective dates of the Scheme on which such investments/withdrawals are sought from the Scheme.

14. The AMC reserves the right to introduce STPs at any other frequencies or on any other dates as the AMC may feel appropriate from time to time. In the event that such a day is a Holiday, the transfer would be affected on the next Business Day.

15. The requests for discontinuation of STP shall be subject to an advance notice of 7 working days before the next due date for STP.

16. STP will terminate automatically if all Units are liquidated or withdrawn from the account or upon the Funds' receipt of notification of death or incapacity of the Unit holder. Further, in case where the balance amount in a folio is less than the STP amount, the entire amount will be transferred to the transferee scheme.

17. If STP date/day is a non-Business Day, then the next Business Day shall be the STP Date / Day and the same will be considered for the purpose of determining the applicability of NAV.

18. The Trustee reserves the right to change/modify the terms and conditions of the STP. For the updated terms and conditions of STP, contact the nearest ISC or visit our website www.oldbridgemf.com

OLD BRIDGE ASSET MANAGEMENT

FOR NON-INDIVIDUALS - SUPPLEMENTARY KNOW YOUR CLIENT (KYC), FATCA, CRS & ULTIMATE BENEFICIAL OWNERSHIP (UBO) SELF CERTIFICATION FORM

Name of the entity	
Type of address given at KRA	☐ Residential or Business ☐ Residential ☐ Business ☐ Registered Office

"Address of tax residence would be taken as available in KRA database. In case of any change, please approach KRA & notify the changes"

Folio Number	Folio Number	Folio Number

PAN		Date of incorporation	D D M M Y Y Y Y
City of incorporation		Country of incorporation	

Entity Constitution Type (Please ü as appropriate)	☐ Partnership Firm ☐ HUF ☐ Private Limited Company ☐ Public Limited Company ☐ Society ☐ AOP/BOI ☐ Trust ☐ Liquidator ☐ Limited Liability Partnership ☐ Artificial Juridical Person ☐ Others specify

Is "Entity" a tax resident of any country other than India ☐ Yes ☐ No

(If yes, please provide country/ies in which the entity is a resident for tax purposes and the associated Tax ID number below.)

Country	Tax Identification Number %	Identification Type (TIN or Other, please specify)

%In case Tax Identification Number is not available, kindly provide its functional equivalent$.
In case TIN or its functional equivalent is not available, please provide Company Identification number or Global Entity Identification Number or GIIN, etc.

In case the Entity's Country of Incorporation / Tax residence is U.S. but Entity is not a Specified U.S. Person, mention Entity's exemption code here ☐☐

ADDITIONAL KYC INFORMATION

Gross Annual Income (₹) ☐ Below 1 Lac ☐ 1 - 5 Lacs ☐ 5 - 10 Lacs ☐ 10 - 25 Lacs ☐ >25 Lacs - 1 Crore ☐ >1 Crore

OR

Net-worth (Mandatory for Non-Individuals) ₹ as on D D M M Y Y Y Y (Not older than 1 year)

Politically Exposed Person (PEP) Status* (Also applicable for authorised signatories/ Promoters/ Karta/ Trustee/ Whole time Directors) ☐ PEP ☐ Related to PEP ☐ Not Applicable

Is the entity involved in any of the mentioned services: (Please ü as appropriate)	☐ Foreign exchange/ Money changer ☐ Gaming/ Gambling/ Lottery (Casinos, betting syndicates) ☐ Money lending/ Pawning ☐ Not applicable

*PEP are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/ military officers, senior executives of state owned corporations, important political party officials, etc.

FATCA & CRS Declaration (Please consult your professional tax advisor for further guidance on FATCA & CRS classification)

PART A (to be filled by Financial Institutions or Direct Reporting NFEs)

☐ We are a, Financial institution[6] OR ☐ Direct reporting NFE[7] (please tick as appropriate)	GIIN Name of sponsoring entity	Note: If you do not have a GIIN but you are sponsored by another entity, please provide your sponsor's GIIN above and indicate your sponsor's name below
GIIN not available (please ü as applicable) If the entity is a financial institution	☐ Applied for ☐ Not required to apply for - please specify 2 digits sub-category[10] ☐☐ ☐ Not obtained - Non-participating FI	

PART B (please fill any one as appropriate "to be filled by NFEs other than Direct Reporting NFEs")

1	Is the Entity a publicly traded company[1](that is, a company whose shares are regularly traded on an established securities market)	Yes ☐ (If yes, please specify any one stock exchange on which the stock is regularly traded) Name of stock exchange
2	Is the Entity a related entity[2] of a publicly traded company (a company whose shares are regularly traded on an established securities market)	Yes ☐ (If yes, please specify name of the listed company and one stock exchange on which the stock is regularly traded) Name of listed company Nature of relation: ☐ Subsidiary of the Listed Co. or ☐ Controlled by a Listed Co. Name of stock exchange
3	Is the Entity an active[3] NFE	Yes ☐ Nature of Business Please specify the sub-category of Active NFE ☐☐ (Mention code-refer 2c of Part D)
4	Is the Entity a passive[4] NFE	Yes ☐ Nature of Business

[1]Refer 2a of Part C | [2]Refer 2b of Part C | [3]Refer 2c of Part C | [4]Refer 3(ii) of Part C | [6]Refer 1 of Part C | [7]Refer 3(vii) of Part C | [10]Refer1A of Part C

UBO Declaration (Mandatory for all entities except, a Publicly Traded Company or a related entity of Publicly Traded Company)

Category (Please tick I applicable category)	☐ Unlisted Company ☐ Partnership Firm ☐ Limited Liability Partnership Company ☐ Unincorporated association / body of individuals ☐ Private Trust ☐ Public Charitable Trust ☐ Religious Trust ☐ Others _____

Please list below the details of controlling person(s), confirming ALL countries of tax residency / permanent residency / citizenship and ALL Tax Identification Numbers for EACH controlling person(s). (Please attach additional sheets if necessary)

Owner-documented FFI's should provide FFI Owner Reporting Statement and Auditor's Letter with required details as mentioned in Form W8 BEN E (Refer 3(vi) of part C)

Details	UBO1	UBO2	UBO3
Name			
PAN			
UBO Code (Refer 3(iv) (A) of Part C)			
Country of Tax residency*			
Tax ID No.%			
Tax ID Type			
Address	Zip State Country	Zip State Country	Zip State Country
Address Type	☐ Residence ☐ Registered office ☐ Business	☐ Residence ☐ Registered office ☐ Business	☐ Residence ☐ Registered office ☐ Business
City of Birth			
Country of birth			
Occupation Type	☐ Service ☐ Business ☐ Others_____	☐ Service ☐ Business ☐ Others_____	☐ Service ☐ Business ☐ Others_____
Nationality			
Father's Name			
Gender	☐ Male ☐ Female ☐ Others	☐ Male ☐ Female ☐ Others	☐ Male ☐ Female ☐ Others
Date of Birth	D D M M Y Y Y Y	D D M M Y Y Y Y	D D M M Y Y Y Y
Percentage of Holding (%)^			

Additional details to be filled by controlling persons with tax residency / permanent residency / citizenship / Green Card in any country other than India:
* To include US, where controlling person is a US citizen or green card holder
%In case Tax Identification Number is not available, kindly provide functional equivalent
^Attach valid documentary proof like Shareholding pattern duly self attested by Authorized Signatory / Company Secretary
[4]Refer 3(iii) of Part C | [11]Refer 3(iv) (A) of Part C

FATCA - CRS Terms and Conditions

The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income-tax Rules, 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities/ appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto.

Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.

Please note that you may receive more than one request for information if you have multiple relationships with Old Bridge Mutual Fund or its group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.

If you have any questions about your tax residency, please contact your tax advisor. If any controlling person of the entity is a US citizen or resident or green card holder, please include United States in the foreign country information field along with the US Tax Identification Number.

$It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

CERTIFICATION

I / We have understood the information requirements of this Form (read along with the FATCA & CRS Instructions) and hereby confirm that the information provided by me / us on this Form is true, correct, and complete. I / We also confirm that I / We have read and understood the FATCA& CRS Terms and Conditions below and hereby accept the same.

Name

Designation

Signatures	Signatures	Signatures

Date D D M M Y Y Y Y Place

PART C FATCA Instructions & Definitions

1 Financial Institution (FI) - The term FI means any financial institution that is a Depository Institution, Custodial Institution, Investment Entity or Specified Insurance company, as defined.

- Depository institution: is an entity that accepts deposits in the ordinary course of banking or similar business.
- Custodial institution is an entity that holds as a substantial portion of its business, holds financial assets for the account of others and where it's income attributale to holding financial assets and related financial services equals or exceeds 20 percent of the entity's gross income during the shorter of
  - (i) The three financial years preceding the year in which determination is made; or
  - (ii) The period during which the entity has been in existence, whichever is less.
- Investment entity is any entity:
- That primarily conducts a business or operates for or on behalf of a customer for any of the following activities or operations for or on behalf of a customer
  - (I) Trading in money market instruments (cheques, bills, certificates of deposit, derivatives, etc.); foreign exchange; exchange, interest rate and index instruments; transferable securities; or commodity futures trading; or
  - (ii) Individual and collective portfolio management; or
  - (iii) Investing, administering or managing funds, money or Fnancial asset or money on behalf of other persons;

    OR
- The gross income of which is primarily attributable to investing, reinvesting, or trading in Fnancial assets, if the entity is managed by another entity that is a depository institution, a custodial institution, a speciFed insurance company, or an investment entity described above.

  An entity is treated as primarily conducting as a business one or more of the 3 activities described above, or an entity's gross income is primarily attributable to investing, reinvesting, or trading in Financial assets of the entity's gross income attributable to the relevant activities equals or exceeds 50 percent of the entity's gross income during the shorter of :
  - (i) The three-year period ending on 31 March of the year preceding the year in which the determination is made;

    OR
  - (ii) The period during which the entity has been in existence.

    The term "Investment Entity" does not include an entity that is an active non-financial entity as per codes 03, 04, 05 and 06 - refer point 2c.)
- Specified Insurance Company: Entity that is an insurance company (or the holding company of an insurance company) that issues, or is obligated to make payments with respect to, a Cash Value Insurance Contract or an Annuity Contract.
- FI not required to apply for GIIN:

A. Reasons why FI not required to apply for GIIN:

Code	Sub-category
01	Governmental Entity, International Organization or Central Bank
02	Treaty Qualified Retirement Fund; a Broad Participation Retirement Fund; a Narrow Participation Retirement Fund; or a Pension Fund of a Governmental Entity, International Organization or Central Bank
03	Non-public fund of the armed forces, an employees' state insurance fund, a gratuity fund or a provident fund
04	Entity is an Indian FI solely because it is an investment entity
05	Qualified credit card issuer
06	Investment Advisors, Investment Managers& Executing Brokers
07	Exempt collective investment vehicle
08	Trustee of an Indian Trust
09	FI with a local client base
10	Non-registering local banks
11	FFI with only Low-Value Accounts
12	Sponsored investment entity and controlled foreign corporation
13	Sponsored, Closely Held Investment Vehicle
14	Owner Documented FFI

2. Non-financial entity (NFE) - Foreign entity that is not a financial institution

Types of NFEs that are regarded as excluded NFE are:

a. Publicly traded company (listed company)

A company is publicly traded if its stock are regularly traded on one or more established securities markets

(Established securities market means an exchange that is officially recognized and supervised by a governmental authority in which the securities market is located and that has a meaningful annual value of shares traded on the exchange)

b. Related entity of a publicly traded company

The NFE is a related entity of an entity of which is regularly traded on an established securities market;

c. Active NFE : (is any one of the following):

Code	Sub-category
01	Less than 50 percent of the NFE's gross income for the preceding financial year is passive income and less than 50 percent of the assets held by the NFE during the preceding financial year are assets that produce or are held for the production of passive income;
02	The NFE is a Governmental Entity, an International Organization, a Central Bank , or an entity wholly owned by one or more of the foregoing;
03	Substantially all of the activities of the NFE consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an entity shall not qualify for this status if the entity functions as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes;
04	The NFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution, provided that the NFE shall not qualify for this exception after the date that is 24 months after the date of the initial organization of the NFE;
05	The NFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganizing with the intent to continue or recommence operations in a business other than that of a Financial Institution;
06	The NFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution;
07	Any NFE that fulfills all of the following requirements: • It is established and operated in India exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it is established and operated in India and it is a professional organization, business league, chamber of commerce, labor organization, agricultural or horticultural organization, civic league or an organization operated exclusively for the promotion of social welfare; • It is exempt from income tax in India; • It has no shareholders or members who have a proprietary or beneficial interest in its income or assets; The applicable laws of the NFE's country or territory of residence or the NFE's formation documents do not permit any income or assets of the NFE to be distributed to, or applied for the benefit of, a private person or non-charitable Entity other than pursuant to the conduct of the NFE's charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the NFE has purchased; and The applicable laws of the NFE's country or territory of residence or the NFE's formation documents require that, upon the NFE's liquidation or dissolution, all of its assets be distributed to a governmental entity or other non-profit organization, or escheat to the government of the NFE's country or territory of residence or any political subdivision thereof. Explanation.- For the purpose of this sub-clause, the following shall be treated as fulfilling the criteria provided in the said sub-clause, namely:- (I) an Investor Protection Fund referred to in clause (23EA); (II) a Credit Guarantee Fund Trust for Small Industries referred to in clause 23EB; and (III) an Investor Protection Fund referred to in clause (23EC), of section 10 of the Act;

3. Other definitions

(i) Related entity

An entity is a 'related entity' of another entity if either entity controls the other entity, or the two entities are under common control For this purpose, control includes direct or indirect ownership of more than 50% of the votes and value in an entity.

(ii) Passive NFE

The term passive NFE means

- (i) any non-financial entity which is not an active non-financial entity including a publicly traded corporation or related entity of a publicly traded company; or
- (ii) an investment entity defined in clause (b) of these instructions

(iii) a withholding foreign partnership or withholding foreign trust;

(Note: Foreign persons having controlling interest in a passive NFE are liable to be reported for tax information compliance purposes)

(iii) Passive income

The term passive income includes income by way of :

(1) Dividends,

(2) Interest

(3) Income equivalent to interest,

(4) Rents and royalties, other than rents and royalties derived in the active conduct of a business conducted, at least in part, by employees of the NFE

(5) Annuities

(6) The excess of gains over losses from the sale or exchange of financial assets that gives rise to passive income

(7) The excess of gains over losses from transactions (including futures, forwards, options and similar transactions) in any financial assets,

(8) The excess of foreign currency gains over foreign currency losses

(9) Net income from swaps

(10) Amounts received under cash value insurance contracts

But passive income will not include,in case of a non-financial entitythat regularly acts as a dealer in financial assets, any income from any transaction entered into in the ordinary course of such dealer's business as such a dealer.

(iv) Controlling persons

Controlling persons are natural persons who exercise control over an entity and includes a beneficial owner under sub-rule (3) of rule 9 of the Prevention of Money-Laundering (Maintenance of Records) Rules, 2005.In the case of a trust, the controlling person means the settl or, the trustees, the protector (if any), the beneficiaries or class of beneficiaries, and any other natural person exercising ultimate effective control over the trust. In the case of alegalar rangement other than a trust, controlling person means persons in equivalent or similar positions.

Pursuant to guidelines on identification of Beneficial Ownership issued vide SEBI circular no. CIR/MIRSD/2/2013 dated January 24, 2013, persons (other than Individuals) are required to provide details of Beneficial Owner(s) ('BO'). Accordingly, the Beneficial Owner means 'Natural Person', who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest of / entitlements to:

i. More than 25% of shares or capital or profits of the juridical person, where the juridical person is a company;

ii. More than 15% of the capital or profits of the juridical person, where the juridical person is a partnership; or

iii. More than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.

Where the client is a trust, the financial institution shall identify the beneficial owners of the client and take reasonable measures to verify the identity of such persons, through the identity of the settler of the trust, the trustee, the protector, the beneficiaries with 15% or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

Where no natural person is identified the identity of the relevant natural person who holds the position of senior managing official.

(A) Controlling Person Type:

Code	Sub-category
01	CP of legal person-ownership
02	CP of legal person-other means
03	CP of legal person-senior managing official
04	CP of legal arrangement-trust-settlor
05	CP of legal arrangement--trust-trustee
06	CP of legal arrangement--trust-protector
07	CP of legal arrangement--trust-beneficiary
08	CP of legal arrangement--trust-other
09	CP of legal arrangement—Other-settlor equivalent
10	CP of legal arrangement—Other-trustee equivalent
11	CP of legal arrangement—Other-protector equivalent
12	CP of legal arrangement—Other-beneficiary equivalent
13	CP of legal arrangement—Other-other equivalent
14	Unknown

(v) Specified U.S. person – A U.S person other than the following:

(i) a corporation the stock of which is regularly traded on one or more established securities markets;

(ii) any corporation that is a member of the same expanded affiliated group, as defined in section 1471(e)(2) of the U.S. Internal Revenue Code, as a corporation described in clause (i);

(iii) the United States or any wholly owned agency or instrumentality thereof;

(iv) any State of the United States, any U.S. Territory, any political subdivision of any of the foregoing, or any wholly owned agency or instrumentality of any one or more of the foregoing;

(v) any organization exempt from taxation under section 501(a) of the U.S. Internal Revenue Code or an individual retirement plan as defined in section 7701(a)(37) of the U.S. Internal Revenue Code;

(vi) any bank as defined in section 581 of the U.S. Internal Revenue Code;

(vii) any real estate investment trust as defined in section 856 of the U.S. Internal Revenue Code;

(viii) any regulated investment company as defined in section 851 of the U.S. Internal Revenue Code or any entity registered with the U.S. Securities and Exchange Commission under the Investment Company Act of 1940 (15 U.S.C. 80a-64);

(ix) any common trust fund as defined in section 584(a) of the U.S. Internal Revenue Code;

(x) any trust that is exempt from tax under section 664(c) of the U.S. Internal Revenue Code or that is described in section 4947(a)(1) of the U.S. Internal Revenue Code;

(xi) a dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any State;

(xii) a broker as defined in section 6045(c) of the U.S. Internal Revenue Code; or

(xiii) any tax-exempt trust under a plan that is described in section 403(b) or section 457(g) of the U.S. Internal Revenue Code.

(vi) Owner documented FFI

An FFI meets the following requirements:

(a) The FFI is an FFI solely because it is an investment entity;

(b) The FFI is not owned by or related to any FFI that is a depository institution, custodial institution, or specified insurance company;

(c) The FFI does not maintain a financial account for any non participating FFI;

(d) The FFI provides the designated withholding agent with all of the documentation and agrees to notify the withholding agent if there is a change in circumstances; and

(e) The designated withholding agent agrees to report to the IRS (or, in the case of a reporting Model 1 IGA, to the relevant foreign government or agency thereof) all of the information described in or (as appropriate) with respect to any specified U.S. persons and (2). Notwithstanding the previous sentence, the designated withholding agent is not required to report information with respect to an indirect owner of the FFI that holds its interest through a participating FFI, a deemed-compliant FFI (other than an owner-documented FFI), an entity that is a U.S. person,an exempt beneficial owner, or an excepted NFE.

(vii) Direct reporting NFE

A direct reporting NFFE means a NFFE that elects to report information about its direct or indirect substantial U.S. owners to the IRS.

(viii) Exemption code for U.S. persons

Code	Sub-category
A	An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37)
B	The United States or any of its agencies or instrumentalities
C	A state, the District of Columbia, a possession of the United States, or any of their political subdivisions or instrumentalities
D	A corporation the stock of which is regularly traded on one or more established securities markets, as described in Reg. section 1.1472-1(c)(1)(I)
E	A corporation that is a member of the same expanded affiliated group as a corporation described in Reg. section 1.1472-1(c)(1)(I)
F	A dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any state
G	A real estate investment trust
H	A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the Investment Company Act of 1940
I	A common trust fund as defined in section 584(a)
J	A bank as defined in section 581
K	A broker
L	A trust exempt from tax under section 664 or described in section 4947(a)(1)
M	A tax exempt trust under a section 403(b) plan or section 457(g) plan

LIST OF OFFICIAL POINTS OF ACCEPTANCE OF TRANSACTION REQUESTS

List of Official Points of Acceptance of Old Bridge Mutual Fund

Old Bridge Asset Management Private Limited, 1705, ONE BKC, C – Wing, G – Block Bandra Kurla Complex, Bandra – (East), Mumbai – 400 051.

The Online Transaction Portal of MFU i.e. www.mfuonline.com and the POS locations of MFUI.

List of Official Points of Acceptance of KFIN Technologies Limited

Agartala : KFIN Technologies Limited Old Rms Chowmuhani, Opp-Rhymond Showroom,Near Jana Sabak Saloon,Agartala, West Tripura,799001. **Agra :** KFIN Technologies Limited, 3Rd Floor, 303 Corporate Park, Block No- 109, Sanjay Place, Agra -282002. **Ahmedabad :** KFIN Technologies Limited SHOP 11 & 12 , 3RD EYE, NEAR GIRISH COLD DRINKS C G ROAD, AHMEDABAD 380006. **Ahmednagar :** KFIN Technologies Limited, Baiju Heights, Opposite to Canara bank, Near old Vasant talkies, Market yard road, Ahilyanagar, Ahmednagar Maharashtra - 414001. **Ajmer :** KFin Technologies Limited, C/o Dani Complex, Behind Chandak Eye Hospital, Agra Gate Circle, P R Marg, Ajmer - 305001. **Akola :** KFIN Technologies Limited Shop No 25 Ground Floor Yamuna Tarang Complex Murtizapur Road N.H. No- 6 Opp Radhakrishna Talkies Akola 444004. **Alappuzha :** KFin Technologies Limited, Sree Rajarajeswari Building,Ground Floor, Church Road, Mullackal Ward, Alappuzha, Kerala 688011. **Aligarh :** KFIN Technologies Limited, 1St Floor Sevti Complex,Lekhraj Nagar Centre Point, Samad Road, Aligarh-202001. **Alwar :** KFIN Technologies Limited Office Number 137 First Floor Jai Complex Road No-2 Alwar 301001. **Amaravathi :** KFIN Technologies Limited Shop No. 21 2Nd Floor Above Axis Bank Gulshan Tower Near Panchsheel Talkies Jaistambh Square Amaravathi 444601. **Ambala :** KFIN Technologies Limited 6349 2Nd Floor Nicholson Road Adjacent Kos Hospitalambala Cant Ambala 133001. **Amritsar :** KFIN Technologies Limited Sco 5 2Nd Floor District Shopping Complex Ranjit Avenue Amritsar 143001. **Anand :** KFIN Technologies Limited, 203 Saffron Icon , Opp Senior Citizen Garden ,Mota Bazar , V V Nagar Anand-388120. **Ananthapur :** KFIN Technologies Limited. #13/4 Vishnupriya Complex Beside Sbi Bank Near Tower Clock Ananthapur-515001. **Andheri :** KFIN Technologies Limited. Office 103, Vertex Navkar, Commercial Complex, M V Road, Opp Andheri Court, Andheri East , Mumbai 400069. **Asansol :** KFIN Technologies Limited 112/N G. T. Road Bhanga Pachil G.T Road Asansol Pin: 713 303; Paschim Bardhaman West Bengal Asansol 713303. **Aurangabad :** KFIN Technologies Limited Shop No B 38 Motiwala Trade Center Nirala Bazar Aurangabad 431001. **Azamgarh :** KFIN Technologies Limited, Shop No 18 Nagar Palika, Infront Of Treasury Office, Civil Lines, Azamgarh-276001. **Balasore :** KFIN Technologies Limited 1-B. 1St Floor Kalinga Hotel Lane Baleshwar Baleshwar Sadar Balasore 756001. **Bangalore :** KFIN Technologies Limited No 35 Puttanna Road Basavanagudi Bangalore 560004. **Bankura :** KFIN Technologies Limited Plot Nos- 80/1/Anatunchati Mahalla 3Rd Floor Ward No-24 Opposite P.C Chandra Bankura Town Bankura 722101. **Bareilly :** KFIN Technologies Limited,1St Floor, Rear Side, A -Square Building, 54-Civil Lines,Ayub Khan Chauraha,Bareilly-243001. **Baroda :** KFIN Technologies Limited 1St Floor 125 Kanha Capital, Above Hdfc Bank , Opposite. Express Hotel, Alkapuri, Vadodara-07,390007. **Begusarai :** Kfin Technologies Limited, Sri Ram Market, Kali Asthan Chowk, Matihani Road, Begusarai-851101. **Belgaum :** KFIN Technologies Limited Premises No.101 Cts No.1893 Shree Guru Darshani Tower Anandwadi Hindwadi Belgaum 590011. **Bellary :** KFIN Technologies Limited Ground Floor 3Rd Office Near Womens College Road Beside Amruth Diagnostic Shanthi Archade Bellary 583103. **Berhampur (Or) :** KFIN Technologies Limited Opp Divya Nandan Kalyan Mandap 3Rd Lane Dharam Nagar Near Lohiya Motor Berhampur (Or) 760001. **Bhagalpur :** Kfin Technologies Limited, 2Nd Floor Chandralok Complex, Radha Rani Sinha Road, Ghantaghar, Bhagalpur-812001. **Bharuch :** KFIN Technologies Limited Shop No : 123, Nexus Business Hub ,Near Dhiraj & Sons, Bharuch ,392001. **Bhatinda :** KFIN Technologies Limited Mcb -Z-3-01043 2 Floor Goniana Road Opporite Nippon India Mf Gt Road Near Hanuman Chowk Bhatinda 151001. **Bhavnagar :** KFIN Technologies Limited, office no 207,skyline square building, near Sanskar mandal,waghawadi Road, Bhavnagar, ,364001. **Bhilai :** KFIN Technologies Limited Office No.2 1St Floor Plot No. 9/6 Nehru Nagar [East] Bhilai 490020. **Bhilwara :** KFIN Technologies Limited Office No. 14 B Prem Bhawan Pur Road Gandhi Nagar Near Canarabank Bhilwara 311001.**Bhopal :** KFIN Technologies Limited Sf-13 Gurukripa Plaza 2Nd Floor Plot No.48A Oppsite City Hospital Zone-2 M P Nagar Bhopal 462011. **Bhubaneswar :** KFIN Technologies Limited A/181 Back Side Of Shivam Honda Show Room Saheed Nagar - Bhubaneswar 751007. **Bikaner :** KFIN Technologies Limited H.No. 10, Himtasar House, Museum Circle, Civil Line, Bikaner, Rajasthan - 334001. **Bilaspur :** KFIN Technologies Limited Shop.No.306 3Rd Floor Anandam Plaza Vyapar Vihar Main Road Bilaspur 495001. **Bokaro :** KFIN Technologies Limited City Centre Plot No. He-07 Sector-Iv Bokaro Steel City Bokaro 827004. Borivali : KFin Technologies Limited, Surbhi Apartment, Ground Floor Shop No 5-8, SVP Road, Opp HDFC Bank, Next to Jain Temple, Borivali West, Mumbai 400092. **Burdwan :** KFIN Technologies Limited Saluja Complex; 846 Laxmipur G T Road Burdwan; Ps: Burdwan & Dist: Burdwan-East Pin: 713101. **Calicut :** KFIN Technologies Limited Second Floor Manimuriyil Centre Bank Road Kasaba Village Calicut 673001. **Chandigarh :** KFin Technologies Ltd, S C O No. 2475-2476, 1st Floor Sector 22 C, Chandigarh - 160022. **chandrapur :** KFIN Technologies Limited C/O Global Financial Services,2Nd Floor, Raghuwanshi Complex,Near Azad Garden, Chandrapur, Maharashtra-442401. **Chennai :** KFIN Technologies Limited 9Th Floor Capital Towers 180 Kodambakkam High Road Nungambakkam | Chennai – 600034. **Chinsura :** KFIN Technologies Limited No : 96 Po: Chinsurah Doctors Lane Chinsurah 712101. **Cochin :** KFIN Technologies Limited Door No:61/2784 Second Floor Sreelakshmi Tower Chittoor Road, Ravipuram Ernakulam-Kerala-682015. **Coimbatore :** KFIN Technologies Limited 3Rd Floor Jaya Enclave 1057 Avinashi Road - Coimbatore 641018. **Cooch behar :** KFIN Technologies Limited Beside Muthoot Fincorp, Opposite Udichi Market, Nripendra Narayan Road, Post & District- Cooch Behar, West BengalPIN-735101. **Cuttack :** KFIN Technologies Limited Shop No-45 2Nd Floor Netaji Subas Bose Arcade (Big Bazar Building) Adjusent To Reliance Trends Dargha Bazar Cuttack 753001. **Darbhanga :** Kfin Technologies Limited H No-185, Ward No- 13, National Statistical Office Campus, Kathal Bari, Bhandar Chowk, Darbhanga-846007. **Davangere :** KFIN Technologies Limited D.No 162/6 1St Floor 3Rd Main P J Extension Davangere Taluk Davangere Manda Davangere 577002. **Dehradun :** Kfin Technologies Limited Shop No- 809/799 , Street No- 2A, Rajender Nagar ,Near Sheesha Lounge, Kaulagarh Road, Dehradun -248003. **Deoria :** KFIN Technologies Limited, 1St Floor, K K Plaza, Above Apurwa Sweets, Civil Lines Road, Deoria- 274001. **Dhanbad :** KFIN Technologies Limited 208 New Market 2Nd Floor Bank More - Dhanbad 826001. **Dhule :** KFIN Technologies Limited Ground Floor Ideal Laundry Lane No 4 Khol Galli Near Muthoot Finance Opp Bhavasar General Store Dhule 424001. **Durgapur :** KFIN Technologies Limited Mwav-16 Bengal Ambuja 2Nd Floor City Centre Distt. Burdwan Durgapur-16 Durgapur 713216. **Eluru :** KFin Technologies Ltd D.No: 3B-15-1/1, Vaibhav Fort, Agraharam, Western Street, Eluru Andhra Pradesh - 534001. **Erode :** KFIN Technologies Limited Address No 38/1 Ground Floor Sathy Road (Vctv Main Road) Sorna Krishna Complex Erode 638003. **Faridabad :** KFIN Technologies Limited A-2B 2Nd Floor Neelam Bata Road Peer Ki Mazar Nehru Groundnit Faridabad 121001. **Ferozpur :** KFIN Technologies Limited The Mall Road Chawla Bulding Ist Floor Opp. Centrail Jail Near Hanuman Mandir Ferozepur 152002. **Gandhidham :** KFIN Technologies Limited Off No-12 Plot No-300 , Ground Floor ,Shree Ambica Arcade Building ,Near Hdfc Bank , Gandhidham,370201. **Gandhinagar :** KFIN Technologies Limited Office No 138, First Floor, Suyas Solitaire,Near Podar International School,Kudasan, Gandhinagar,382421. **Gaya :** Kfin Technologies Limited Opposite Of Bharat Sewa Ashram, Near Dr A Barkat Multispeciality Hospital, Swarajpuri Road, Gaya-823001. **Ghatkopar :** KFIN Technologies Limited 11/Platinum Mall, Jawahar Road, Ghatkopar (East), Mumbai 400077. **Ghaziabad :** KFIN Technologies Limited Ff - 31 Konark Building Rajnagar - Ghaziabad 201001. **Ghazipur :** KFIN Technologies Limited Rani Katra, Mahuabagh, Infront Of Shubhra Hotel, Ghazipur - 233001. **Gonda :** KFIN Technologies Limited,782 Shiv Sadan, Iti Road, Near Raghukul Vidya Peeth, Civil Lines Gonda-271001. **Gorakhpur :** KFIN Technologies Limited, Cross Road The Mall , Shop No 8-9, 4Th Floor , Bank Road , Gorakhpur 273001. **Gulbarga :** KFIN Technologies Limited H No 2-231 Krishna Complex 2Nd Floor Opp. Municipal Corporation Office Jagat Station Main Road Kalaburagi Gulbarga 585105. **Guntur :** KFIN Technologies Limited 2Nd Shatter 1St Floor Hno. 6-14-48 14/2 Lane Arundal Pet Guntur 522002. **Gurgaon :** KFIN Technologies Limited No: 212A 2Nd Floor Vipul Agora M. G. Road - Gurgaon 122001. **Guwahati :** KFIN Technologies Limited 4Th Floor, Ganapati Enclave, G.S. Road, Near Bora Service, Guwahati 781007. **Gwalior :** KFIN Technologies Limited, T-303 3Rd Floor, Vasundhara Tower, Near Axis Bank In Front Of Virendra Villa, Patel Nagar, City Centre, Gwalior-474011. **Haldwani :** KFIN Technologies Limited,Shoop No 5,Kmvn Shoping Complex, Haldwani 263139. **Haridwar :** KFIN Technologies Limited,Shop No. - 17,Bhatia Complex,Near Jamuna Palace,Haridwar 249410. **Hassan :** KFIN Technologies Limited Sas No: 490 Hemadri Arcade 2Nd Main Road Salgame Road Near Brahmins Boys Hostel Hassan 573201. **Hissar :** KFIN Technologies Limited Shop No. 20 Ground Floor R D City Centre Railway Road Hissar 125001. **Hoshiarpur :** KFIN Technologies Limited Unit # Sf-6 The Mall Complex 2Nd Floor Opposite Kapila Hospital Sutheri Road Hoshiarpur 146001. **Hosur :** KFIN Technologies Limited No.2/3-4. Sri Venkateswara Layout, Denkanikottai Road, Dinnur Hosur - 635109. **Hubli :** KFIN Technologies Limited R R Mahalaxmi Mansion Above Indusind Bank

LIST OF OFFICIAL POINTS OF ACCEPTANCE OF TRANSACTION REQUESTS (CONTD.)

2Nd Floor Desai Cross Pinto Road Hubballi 580029. **Hyderabad :** KFIN Technologies Limited, 2Nd Floor Jbs Station, Lower Concourse 1, Situated In Jubilee Bus Metro Station, Secunderabad 500009. **Hyderabad (Gachibowli) :** KFIN Technologies Limited Selenium Plot No: 31 & 32 Tower B Survey No.115/22 115/24 115/25 Financial District Gachibowli Nanakramguda Serilimgampally Mandal Hyderabad 500032. **Indore :** KFIN Technologies Limited. 101, Diamond Trade Center, 3-4 Diamond Colony, New Palasia, Above Khurana Bakery,Indore 452001. **Jabalpur :** KFIN Technologies Limited 2Nd Floor 290/1 (615-New) Near Bhavartal Garden Jabalpur - 482001. **Jaipur :** KFIN Technologies Limited Office No 101 1St Floor Okay Plus Tower Next To Kalyan Jewellers Government Hostel Circle Ajmer Road Jaipur 302001. **Jalandhar :** KFIN Technologies Limited Office No 7 3Rd Floor City Square Building E-H197 Civil Line Next To Kalyan Jewellers Jalandhar 144001. **Jalgaon :** KFIN Technologies Limited 3Rd Floor 269 Jaee Plaza Baliram Peth Near Kishore Agencies Jalgaon 425001. **Jalpaiguri :** KFIN Technologies Limited D B C Road Opp Nirala Hotel Opp Nirala Hotel Opp Nirala Hotel Jalpaiguri 735101. **Jammu :** KFIN Technologies Limited 1D/D Extension 2 Valmiki Chowk Gandhi Nagar Jammu 180004. **Jamnagar :** KFIN Technologies Limited 131, Madhav Plaza,Opp. Sbi Bank Jamnagar, 361001. **Jamshedpur :** KFIN Technologies Limited Madhukunj 3Rd Floor Q Road Sakchi Bistupur East Singhbhum Jamshedpur 831001. **Jhansi :** KFin Technologies Limited, 1st Floor, Basera Arcade, Opp. Major Dhyanchand Stadium, BKT Chitra Road, Civil Lines, Jhansi 284001. **Jodhpur :** KFIN Technologies Limited Shop No. 6 Gang Tower G Floor Opposite Arora Moter Service Centre Near Bombay Moter Circle Jodhpur 342003. **Junagadh :** Kfin Technologies Limited 203, Noble Plaza, Near Domadiya Wadi, Kalwa Chowk, Junagadh-362001. **Kalyan :** KFIN Technologies Limited Seasons Business Centre, 104 / 1St Floor, Shivaji Chowk, Opposite Kdmc (Kalyan Dombivali Mahanagar Corporation) Kalyan - 421301. **Kalyani :** KFIN Technologies Limited Ground Floor,H No B-7/27S, Kalyani, Kalyani Ho, Nadia, West Bengal – 741235. **Kannur :** KFIN Technologies Limited 2Nd Floor Global Village Bank Road Kannur 670001. **Kanpur :** KFin Technologies Limited 2nd Floor of Tower-A, Virendra Smriti Complex, 15/54-B Civil Lines, Kanpur-208001. **Karimnagar :** KFIN Technologies Limited 2Nd Shutterhno. 7-2-607 Sri Matha Complex Mankammathota - Karimnagar 505001. **Karnal :** KFIN Technologies Limited 3 Randhir Colony Near Doctor J.C.Bathla Hospital Karnal (Haryana) 132001. **Karur :** KFIN Technologies Limited No 88/11 Bb Plaza Nrmp Street K S Mess Back Side Karur 639002. **Khammam :** KFIN Technologies Limited 11-4-3/3 Shop No. S-9 1St Floor Srivenkata Sairam Arcade Old Cpi Office Near Priyadarshini Collegenehru Nagar Khammam 507002. **Kharagpur :** KFIN Technologies Limited Holding No 254/220 Sbi Building Malancha Road Ward No.16 Po: Kharagpur Ps: Kharagpur Dist: Paschim Medinipur Kharagpur 721304. **Kolhapur :** KFIN Technologies Limited 605/1/4 E Ward Shahupuri 2Nd Lane Laxmi Niwas Near Sultane Chambers Kolhapur 416001. **Kolkata :** KFIN Technologies Limited 2/1 Russel Street 4Thfloor Kankaria Centre Kolkata 700071. **Kollam :** KFIN Technologies Limited Sree Vigneswara Bhavan Shastri Junction Kollam - 691001. **Korba :** KFIN Technologies Limited, Office No #202, 2Nd Floor, Icrc Qube, 97 T. P. Nagar, Korba-495677. **Kota :** KFIN Technologies Limited D-8 Shri Ram Complex Opposite Multi Purpose School Gumanpur Kota 324007. **Kottayam :** KFIN Technologies Limited 1St Floor Csiascension Square Railway Station Road Collectorate P O Kottayam 686002. **Kurnool :** KFIN Technologies Limited Shop No:47 2Nd Floor S Komda Shoping Mall Kurnool 518001. **Lucknow :** KFin Technologies Limited, Office No 202, 2nd Floor, Bhalla Chambers, 5 Park Road, Hazratganj, Lucknow 226001. **Ludhiana :** KFIN Technologies Limited Sco 122 Second Floor Above Hdfc Mutual Fun Feroze Gandhi Market Ludhiana 141001. **Madurai :** KFIN Technologies Limited No. G-16/17 Ar Plaza 1St Floor North Veli Street Madurai 625001. **Malappuram :** KFin Technologies Limited, MM18/1974, Peekeys Arcade, (ICICI Bank Building), Near Municipal bus stand, A K Road, Downhill, Malappuram, Kerala, 676519. **Malda :** KFIN Technologies Limited Ram Krishna Pally; Ground Floor English Bazar - Malda 732101. **Mandi :** KFIN Technologies Limited House No. 99/11 3Rd Floor Opposite Gss Boy School School Bazar Mandi 175001. **Mangalore :** KFIN Technologies Limited Shop No - 305 Marian Paradise Plaza 3Rd Floor Bunts Hostel Road Mangalore - 575003. **Margoa :** Kfin Technologies Limited S20, 2Nd Floor, L & L Correira'S Pride, Nearest Landmark Above Kfc, Near Ktc Bus Stand, Margao Salcete Goa 403601.**Mathura :** KFIN Technologies Limited, Shop No. 9, Ground Floor, Vihari Lal Plaza,Opposite Brijwasi Centrum,Near New Bus Stand,Mathura 281001. **Meerut :** Kfin Technologies Limited Shop No.297/1, First Floor, Sbm Tower, Near Apex Tower, Canara Bank, Opposite Eves Petrol Pump, Ccs University Road, Mangal Pandey Nagar Meerut-250002. **Mehsana :** KFIN Technologies Limited Ff-21,Someswar Shopping Mall Modhera Road-Mehsana-384002. **Mirzapur :** KFIN Technologies Limited Triveni Campus, 2Nd Floor, Ratanganj, Near Sbi Life, Mirzapur-231001. **Moga :** KFIN Technologies Limited 1St Floordutt Road Mandir Wali Gali Civil Lines Barat Ghar Moga 142001. **Moradabad :** KFIN Technologies Limited,Chadha Complex,G. M. D. Road,Near Tadi Khana Chowk,Moradabad-244001. **Morena :** KFIN Technologies Limited, House No. Hig 959,Near Court,Front Of Dr. Lal Lab,Old Housing Board Colony,Morena 476001. **Mumbai :** KFIN Technologies Limited 6/8 Ground Floor Crossley House Near Bse (Bombay Stock Exchange)Next Union Bank Of India Fort Mumbai - 400001. **Muzaffarpur :** KFIN Technologies Limited 1St Floor, Saroj Complex, Diwan Road, Muzaffarpur -842001. **Mysore :** KFIN Technologies Limited No 2924 2Nd Floor 1St Main 5Th Cross Saraswathi Puram Mysore 570009. **Nadiad :** KFIN Technologies Limited 311-3Rd Floor City Centre,Near Paras Circle,Nadiad-387001. **Nagerkoil :** KFIN Technologies Limited Hno 45 1St Floor East Car Street Nagercoil 629001. **Nagpur :** KFIN Technologies Limited Plot No. 2 Block No. B / 1 & 2 Shree Apratment Khare Town Mata Mandir Road Dharampeth Nagpur 440010. **Nanded :** KFIN Technologies Limited Shop No.4 Santakripa Market G G Road Opp.Bank Of India Nanded 431601. **Nashik :** KFIN Technologies Limited S-9 Second Floor Suyojit Sankul Sharanpur Road Nasik 422002. **Navsari :** KFIN Technologies Limited 103 1St Floor Landmark Mall ,Near Sayaji Vaibhav Library , Navsari,396445. **Nellore :** KFIN Technologies Limited, H. No: 216/2/561, Ramarao Complex-2 3Rd Floor, Shop No: 305, Nagula Mitta Road,(Indira Bhavan), Opp: Bank Of Baroda, Nellore Pin : 524001. **New Delhi :** KFIN Technologies Limited 305 New Delhi House 27 Barakhamba Road - New Delhi 110001. **Noida :** KFIN Technologies Limited F-21 2Nd Floor Near Kalyan Jewelers Sector-18 Noida 201301. **Palghar :** KFin Technologies Limited,The Edge Ground Floor, Shop number 4 , Bhausaheb Dandekar Marg, Behind Prakash Talkies , Palghar Maharashtra 401404. **Palghat :** KFIN Technologies Limited No: 20 & 21 Metro Complex H.P.O.Road Palakkad H.P.O.Road Palakkad 678001. **Panipat :** KFIN Technologies Limited Shop No. 20 1St Floor Bmk Market Behind Hive Hotel G.T.Road Panipat-132103. **Panjim :** KFIN Technologies Limited H. No: T-9 T-10 Affran Plaza 3Rd Floor Near Don Bosco High School Panjim 403001. **Panvel :** KFin Technologies Limited, Plot No. 240, J.K. Plaza Building, T.P. Scheme No. 1, 1st Floor, Behind Shivaji Statue, MTNL Road, Panvel, Raigad - 410206. **Pathankot :** KFIN Technologies Limited 2Nd Floor Sahni Arcade Complex Adj.Indra Colony Gate Railway Road Pathankot 145001. **Patiala :** KFIN Technologies Limited B- 17/423 Lower Mall Patiala Opp Modi College Patiala 147001. **Patna :** KFIN Technologies Limited, Flat No.- 102, 2Bhk Maa Bhawani Shardalay, Exhibition Road, Patna-800001. **Pondicherry :** KFIN Technologies Limited No 122(10B) Muthumariamman Koil Street - Pondicherry 605001. **Prayagraj :** KFIN Technologies Limited, Shop No- Tf-9, 3Rd Floor, Vinayak Vrindavan Tower, H No-34/26, Tashkhant Road. Civil Station, Prayagraj- 211001. **Pune :** KFin Technologies Limited Ayaan Chandrika, Office No. 14,15,16. Second Floor H.NO 1315, F.PL No. 701, Dadasaheb Torne Path, Off Jangli Maharaj Road, Shivaji Nagar, Pune Maharastra -411005. **Raipur :** KFIN Technologies Limited, Office No- 401, 4Th Floor, Pithalia Plaza , Fafadih Chowk, Raipur -492001. **Rajahmundry :** KFIN Technologies Limited, D.No: 6-7-7, Sri Venkata Satya Nilayam,1St Floor, Vadrevu Vari Veedhi, T - Nagar, Rajahmundry Ap- 533101. **Rajkot :** Kfin Technologies Limited 406 Prism Square Building, Near Moti Tanki Chowk, Near Kathiyawadi Gymkhana, Opp RKC School Gate, Dr. Radhakrishnan Marg, Rajkot - 360001. **Ranchi :** KFIN Technologies Limited Room No 103, 1St Floor, Commerce Tower,Beside Mahabir Tower,Main Road, Ranchi -834001. **Ratlam :** KFIN Technologies Limited 106, Rajaswa Colony, Near Sailana Bus Stand, Ratlam, Madhya Pradesh 457001. **Renukoot :** KFIN Technologies Limited C/O Mallick Medical Store, Bangali Katra Main Road ,Renukoot Dist. Sonebhadra -231217. **Rewa :** KFIN Technologies Limited, Shop No-2, Ground Floor, Shree Sai Anmol Complex, Opp Teerath Memorial Hospital, Rewa-486001. **Rohtak :** KFIN Technologies Limited Office No:- 61 First Floor Ashoka Plaza Delhi Road Rohtak 124001. **Roorkee :** KFIN Technologies Limited, Near Shri Dwarkadhish Dharm Shala, Ramnagar, Roorkee-247667. **Rourkela :** KFIN Technologies Limited 2Nd Floor Main Road Udit Nagar Sundargarh Rourekla 769012. **Sagar :** KFIN Technologies Limited 2Nd Floor|Above Shiva Kanch Mandir 5 Civil Lines Sagar Madhya Pradesh -470001. **Saharanpur :** KFIN Technologies Limited,1St Floor, Krishna Complex, Opp. Hathi Gate, Court Road, Saharanpur - 247001. **Salem :** KFIN Technologies Limited No.6 Ns Complex Omalur Main Road Salem 636009. **Sambalpur :** KFIN Technologies Limited First Floor; Shop No. 219 Sahej Plaza Golebazar; Sambalpur Sambalpur 768001. **Sangli :** Kfin Technologies Limited,514/A, Gala No. 2/A, The Signature Building, Near Pudhari Bhavan, Sangli – 416416. **Satara :** KFIN Technologies Limited G7, 465 A, Govind Park Satar Bazaar, Satara - 415001. **Satna :** KFIN Technologies Limited, 1St Floor, Gopal Complex, Rewa Road ,Near Bus Stand, Satna-485001. **Serampore :** KFin Technologies Limited, Hinterland-II,GR.Floor, 6A Roy Ghat Lane, Serampore, Hooghly,West Bengal,712201. **Shillong :** KFIN Technologies Limited Annex Mani Bhawan Lower Thana Road Near R K M Lp School Shillong 793001. **Shimla :** KFIN Technologies Limited 1St Floor Hills View Complex Near Tara Hall Shimla 171001. **Shimoga :** KFIN Technologies Limited Jayarama Nilaya 2Nd Corss Mission Compound Shimoga 577201. **Shivpuri :** KFIN Technologies Limited, A. B. Road,In Front Of Sawarkar Park,Near Hotel Vanasthali,Shivpuri 473551. **Sikar :** KFIN Technologies Limited First Floorsuper Tower Behind Ram Mandir Near Taparya Bagichi - Sikar 332001. **Silchar :** KFIN Technologies Limited Above R.K Associates, 2Nd Floor, N.N. Dutta Road, Near Gurudwara, Shillongpatty, Silchar 788001. **Siliguri :** Kfin Technologies Limited Vyom Sachitra Building, 2Nd Floor, Pranami Mandir Road, Siliguri - 734001. **Sitapur :** KFIN Technologies Limited, 12/12 Surya Complex,Station Road ,Uttar Pradesh,Sitapur 261001. **Solan :** KFIN Technologies Limited Disha Complex 1St Floor Above Axis Bank Rajgarh Road Solan 173212. **Solapur :** KFIN Technologies

LIST OF OFFICIAL POINTS OF ACCEPTANCE OF TRANSACTION REQUESTS (CONTD.)

Limited Shop No 106. Krishna Complex 477 Dakshin Kasaba Datta Chowk Solapur-413007. **Sonepat :** KFIN Technologies Limited PP Tower, Shop No 207, 2nd Floor, Opposite Income Tax office, Subhash Chowk, Sonepat-131001. **Sri Ganganagar :** KFIN Technologies Limited Address Shop No. 5 Opposite Bihani Petrol Pump Nh - 15 Near Baba Ramdev Mandir Sri Ganganagar 335001. **Srikakulam :** KFin Technologies Ltd, D No:1-6/2, First Floor, Near Vijaya Ganapathi Temple, Beside I.K. Rao Building, Palakonda Raod, Srikakulam Dist Andhra Pradesh - 532001. **Sultanpur :** Kfin Technologies Limited 1St Floor, Shop No-90A, Ramashankar Market, Civil Lines, Near Bus Station, Sultanpur- 228001. **Surat :** KFIN Technologies Limited Ground Floor Empire State Building Near Udhna Darwaja Ring Road Surat, 395002. **Thane :** KFin Technologies Ltd, Tropical Elite, 1st Floor, Shop no 106, Near Naupada Police Station, Near Hari Niwas Circle Thane West Mumbai- 400602. **Tinsukia :** KFIN Technologies Limited 3Rd Floor, Somany Tiles Building Above Uti Mutual Fund, Chirwapatty Road, Tinsukia Assam - 786125. **Tirunelveli :** KFIN Technologies Limited 55/18 Jeney Building 2Nd Floor S N Road Near Aravind Eye Hospital Tirunelveli 627001. **Tirupathi :** KFIN Technologies Limited Shop No:18-1-421/F1 City Center K.T.Road Airtel Backside Office Tirupathi - 517501. **Tiruvalla :** KFIN Technologies Limited 2Nd Floorerinjery Complex Ramanchira Opp Axis Bank Thiruvalla 689107. **Trichur :** KFIN Technologies Limited 4Th Floor Crown Tower Shakthan Nagar Opp. Head Post Office Thrissur 680001. **Trichy :** KFIN Technologies Limited No 23C/1 E V R Road Near Vekkaliamman Kalyana Mandapam Putthur - Trichy 620017. **Trivandrum :** KFIN Technologies Limited, 3Rdfloor, No- 3B Tc-82/3417, Capitol Center, Opp Secretariat, Mg Road, Statue P O Trivandrum- 695001. **Tuticorin :** KFIN Technologies Limited 4 - B A34 - A37 Mangalmal Mani Nagar Opp. Rajaji Park Palayamkottai Road Tuticorin 628003. **Udaipur :** KFIN Technologies Limited Shop No. 202 2Nd Floor Business Centre 1C Madhuvan Opp G P O Chetak Circle Udaipur 313001. **Ujjain :** KFIN Technologies Limited 227, 2Nd Floor Heritage Plaza,Above Vishal Megha Mart, Near Hotel Kshipra, Ujjain M.P. - 456010. **Valsad :** KFIN Technologies Limited 406, 4Th Floor, Dreamland Arcade,Tithal Road,Valsad, 396001. **Vapi :** KFIN Technologies Limited Sa/11,A Wing, 2Nd Floor, Solitaire Business Centre,Opp Dcb Bank,Vapi Gidc Char Rasta,Vapi-396195. **Varanasi :** Kfin Technologies Limited D 64 / 127 C – H Arihant Complex, 2Nd Floor,Sigra (Near Petrol Pump) Varanasi (U P)-221010. **Vashi :** KFIN Technologies Limited, Haware Infotech Park 902, 9Th Floor, Plot No 39/03, Sector 30A, Opp Inorbit Mall, Vashi Navi Mumbai 400703. **Vellore :** KFIN Technologies Limited No 2/19 1St Floor Vellore City Centre Anna Salai Vellore 632001. **Vijayawada :** Kfin Technologies Limited 40-9-62, Sub Register Office Road, Acharya Ranga Nagar, Benz Circle Vijayawada 520008. **Visakhapatnam :** KFIN Technologies Limited Dno : 48-10-40 Ground Floor Surya Ratna Arcade Srinagar Opp Roadto Lalitha Jeweller Showroom Beside Taj Hotel Ladge Visakhapatnam 530016. **Warangal :** KFIN Technologies Limited Shop No22 Ground Floor Warangal City Center 15-1-237 Mulugu Road Junction Warangal 506002. **Yamuna Nagar :** KFIN Technologies Limited B-V 185/A 2Nd Floor Jagadri Road Near Dav Girls College (Uco Bank Building) Pyara Chowk - Yamuna Nagar 135001.

Investors can also subscribe to units of the Scheme by availing the platforms/facilities made available by the Stock Exchanges.

Mutual Fund investments are subject to market risks, read all scheme related documents carefully.