

ARN of the MFD	Name of the employee of the MFD involved	EUN of the employee of the MFD involved	Source of the complaint (Please select from the drop down menu)	Details where "Source of the complaint" is selected as "Others"	Nature of Mis-Selling observed by the AMC (Please select from the drop down menu)	Details where "Nature of Mis-Selling" is selected as "Others"	Summary of the incident	Amount of investment involved in the incident	Corrective Action taken by the AMC prevent recurrence	Code of Conduct Violation	Action taken by the AMC against the errant MFD
Nil											